Structural Understanding for High-Voltage Stabilization of Lithium Cobalt Oxide

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The rapid development of modern consumer electronics is placing higher demands on lithium cobalt oxide ($LiCoO_2$; LCO) cathode that powers them. Increasing operating voltage is exclusively effective in boosting LCO capacity and energy density but is inhibited by the innate high-voltage instability of LCO structure that serves as the foundation and determinant of its electrochemical behavior in lithium-ion batteries (LIBs). This has stimulated extensive research on LCO structural stabilization. Here, we focus on the fundamental structural understanding of LCO cathode from long-term studies. Multi-scale structures concerning LCO bulk and surface and various structural issues along with their origins and corresponding stabilization strategies with specific mechanisms are uncovered and elucidated at length, which will certainly deepen and advance our knowledge of LCO structure and further its inherent relationship with electrochemical performance. And based on these understandings, remaining questions and opportunities for future stabilization of LCO structure are also emphasized.

1. Introduction

The 2019 Nobel Prize in Chemistry-awarded rechargeable lithium-ion batteries (LIBs) are reshaping our modern world into a fossil fuel-free sustainable society, powering not just traditional 3C devices but also the growing electric mobility and grid energy storage.[1] Superior electrochemical performance in terms of capacity and energy density of LIBs is largely pursued nowadays to meet the increasing demands for "mobile living"; however, it is seriously impeded by the bottleneck of cathode materials. This is because cathode dictates the behavior and quantity of shuttling Li^+ ions and holds the largest percentage in LIBs by both weight and cost.[2] In contemporary battery community, layered lithium cobalt oxide ($LiCoO_2$, LCO for short) is the most successful and prototype cathode.[3] It was proposed in 1980 by John B. Goodenough et al. with reversible Li^+ deintercalation and intercalation, which for the first time freed the dependence on the unsafe Li metal anode and raised battery working voltage to over 4.0 volt (V; vs Li^+/Li).[4] Then in 1991, LCO was empolyed to serve the very first

commercial LIBs by SONY,[5] and this has greatly boomed the development of LIB technologies and materials.

To date, despite over 40 years since its birth with a variety of alternates like $LiFePO_4$ (LFP), $LiMn_2O_4$ (LMO), Ni-rich ternary $Li[Ni_xCo_y(Al\ or\ Mn)_{1-x-y}]O_2$ (NCA or NCM), and Li- and Mn-rich layered oxide (LMRO) developed,[6] LCO is still very relevant and extensively applied in major commercial LIBs due to its distinct merits of high working voltage, highly compact density, and consequent high energy density, excellent conductivity, ease of preparation, and mature manufacturing. Although concerns over inelastic supply, unethical mining, and limited reserves leading to high cost make the use of Co controversial,[2] which prompts battery community to vigorously develop alternates to phase it out, Co and LCO continue to be indispensable to LIBs for the time being.[7] Typically, it is highly challenging to completely eliminate Co from hot-spot Ni-rich NCA and NCM in the near term due to the significance of Co in reinforcing structural stability and conductivity. While LCO may not be a suitable choice for large-scale energy storage batteries because of cost-effectiveness considerations, especially when compared to NCA and NCM for electric vehicles, it remains highly competitive in terms of energy density.[8] For example, upon a cut-off voltage of 4.4 V, LCO delivers a volumetric energy density of 2812 $Wh·L^{-1}$ while NCM811 ($LiNi_{0.80}Co_{0.10}Mn_{0.10}O_2$) offers ~2600 $Wh·L^{-1}$ and NCA ($LiNi_{0.80}Co_{0.15}Mn_{0.05}O_2$) ~2700 $Wh·L^{-1}$.[9] Furthermore, LCO does have unique and specific application scenarios of its own. Particularly in consumer electronics market where a high volumetric energy density is mandatory, LCO is and will continue being the monopoly of cathode in the foreseeable future, which has also become the model material for exploring advanced battery technologies like solid-state electrolyte (SSE) and fast charging.[10] Most importantly, LCO still has a huge potential for higher capacity and energy density and has thus attracted tremendous research interest over the last two decades (**Figure 1**). Such a pursuit is known as the exploitation of the so-called high-voltage LCO (H-LCO), as LCO is a voltage-limiting cathode whose capacity and energy density are restricted by operating voltage (**Table 1**).[9b] Specifically, according to $\Delta E = \int_0^{\Delta Q} V \times q dq$ (*E*, *V*, and *q* stand for energy density, operating voltage, and capacity, respectively) to quantify electrochemical performance, LCO capacity and energy density can be simultaneously boosted by simply increasing operating voltage. Unfortunately, this is critically subject to the intrinsic high-voltage instability of LCO structure regarding both bulk phase and surface,

with well-known structural issues like irreversible phase transitions accompanied by twin boundaries and layer gliding, interfacial side reactions, oxygen redox, and so on. These not only deteriorate LCO electrochemical performance with increased impedance and rapid decay in available capacity, discharge voltage, rate capability, and cyclic life but also pose safety risks, such as heat release, electrolyte leakage, and battery swelling due to destructive and excessive side reactions.
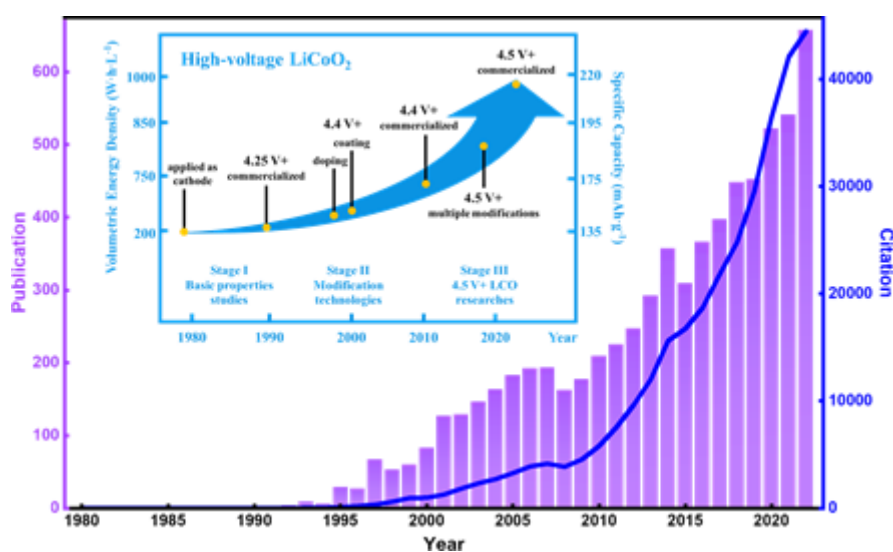

Figure 1. Distribution of publication and citation of LCO study by year. From Web of Science[TM] as of September 20th, 2023, with "LiCoO$_2$" and "battery" as the search topic.[11] Inset showing development of H-LCO. Reproduced with permission.[12] Copyright 2020, Elsevier.

Table 1. Electrochemical performance of LCO at different cut-off voltages. Reproduced with permission.[9b] Copyright 2018, Elsevier.

Voltage	4.2 V	4.3 V	4.4 V	4.5 V	4.6 V
Capacity (mA·h·g^{-1})	140	155	170	185	220
Average voltage (V)	3.91	3.92	3.94	3.97	4.03

Specific energy (Wh·kg^{-1})	547.3	607.6	669.6	733.5	885.9
Specific energy (Wh·L^{-1})	2299	2552	2812	3081	3721
Increment of energy density		11%	10%	9.5%	21%

With the goal of stably approaching theoretical capacity, achieving H-LCO is essentially the structural stabilization at high voltages, which is the driving force for decades of LCO development (inset in Figure 1).[12] In early studies, fundamentals of LCO structure and property, especially the structural understandings regarding its bulk and surface during electrochemical process, were the focus. It was found that LCO underwent a series of phase transitions upon high cut-off voltages, leading to gradual deterioration of structure and electrochemical performance. This is the main reason why first commercial LIBs were cycled below 4.2 V with only half of LCO theoretical capacity (~140 mA·h·g^{-1}; theoretical value: 274 mA·h·g^{-1}). Nonetheless, the structural knowledge obtained then is of considerable significance even today and greatly promotes subsequent progress. Following that, researches aiming at improving LCO structural stability were vastly conducted, and various modification strategies, mainly elemental doping and surface coating, have been developed.[3] These facilitated the stable cycling of LCO at a cut-off voltage of 4.4 V and enabled the second-generation LCO-based commercial LIBs with a capacity of ~170 mA·h·g^{-1}.[13] Currently, massive efforts is being invested to realize H-LCO with a much larger capacity at higher voltages (e.g., ~220 mA·h·g^{-1} at 4.6 V cut-off voltage) using a range of modifications, including not only elemental doping and surface coating but also combined modifications on others like electrolytes, additives, binders, etc. This imposes big challenges for high-voltage stabilization of LCO structure.

To develop and utilize H-LCO more effectively and rationally, a thorough and precise understanding of LCO structure is becoming a must. However, there has been no systematic review exclusive on this topic, despite several summaries on LCO electrochemical performance and modifications.[3, 14] This review is delicated to the fundamental understandings of LCO structures. The crystal and electronic structures of LCO are introduced in the beginning, which are the recognized basis of its

electrochemistry in LIBs. Various structural issues arising from electrochemical process are then discussed in depth, followed by structural stabilization to further deepen our knowledge of the LCO structure-performance relationship. At the end, an outlook of remaining issues and potential opportunities is provided for future LCO structural study and H-LCO exploitation.

2. Structural Fundamentals

2.1. Crystal Structure

LCO crystallography was revealed by powder X-ray diffraction (PXRD) in 1958 when there was a common interest in layered transition metal (TM) oxides.[15] LCO possesses α-NaFeO$_2$-type layered structure with $R\bar{3}m$ space group and unit-cell parameters of a=2.816(2) Å and c=14.08(1) Å. According to Pauling's first rule, since the ionic radius ratio of r(Li$^+$):r(O^{2-}) (0.76 Å : 1.40 Å = 0.543) is larger than the minimum value of 0.414 allowed for stable octahedral coordination while smaller than the minimum 0.732 for a cubic arrangement, Li$^+$ in LCO stably occupies the octahedral voids of anionic oxygen framework. However, the ionic radius ratio of r(Co^{3+}):r(O^{2-}) (0.545 Å : 1.40 Å = 0.390) is slightly smaller than 0.414 but Co^{3+} virtually adopts octahedral coordination with O^{2-} in LCO, indicating the intrinsic metastability of LCO structure. Specifically, O in LCO is residing at 6c site in a cubic closed-packed (ccp) pattern, and Li and Co are orderly arranged at 3b and 3a sites in the (111) plane and coordinate with O to form edge-shared LiO$_6$ and CoO$_6$ octahedra, respectively. All Co−O bonds in CoO$_6$ octahedra are of equal length yet the O−Co−O angles deviate slightly from those in a perfect octahedron, thereby leading to minor distortion, while the same is true in LiO$_6$ octahedra. Given ordered cationic arrangement and thermodynamic stability, LCO is one of the rock-salt oxide superstructures. Besides, LCO can be viewed as constructed by three CoO$_2$ layers stacking along c-axis in the unit cell, with Li$^+$ ionically bound in the van der Waals gaps of O^{2-} (**Figure 2**a). Based on the notation by Delmas et al.,[16] LCO is an O3-type layered oxide; here "O" indicates the octahedral coordination of interlayer alkali metal (AM; Li in LCO) ions with surrounding O^{2-} while "3" represents the "ABCABC" stacking pattern of O^{2-} layers along c-axis or the number of TMO$_2$ layer in unit cell. Theoretical density of LCO calculated from its crystal structure is ~5.05 g·cm^{-3} and the compact density for practical applications can reach ~4.2 g·cm^{-3} thanks to its high thermal stability (up to 1000 °C) and

low formation energy (predicted value: -1.753 eV/atom) leading to easy synthesis of large crystallites (~10 μm).[14a] This is the largest among various cathodes, giving LCO a superior volumetric energy density required for consumer electronics.
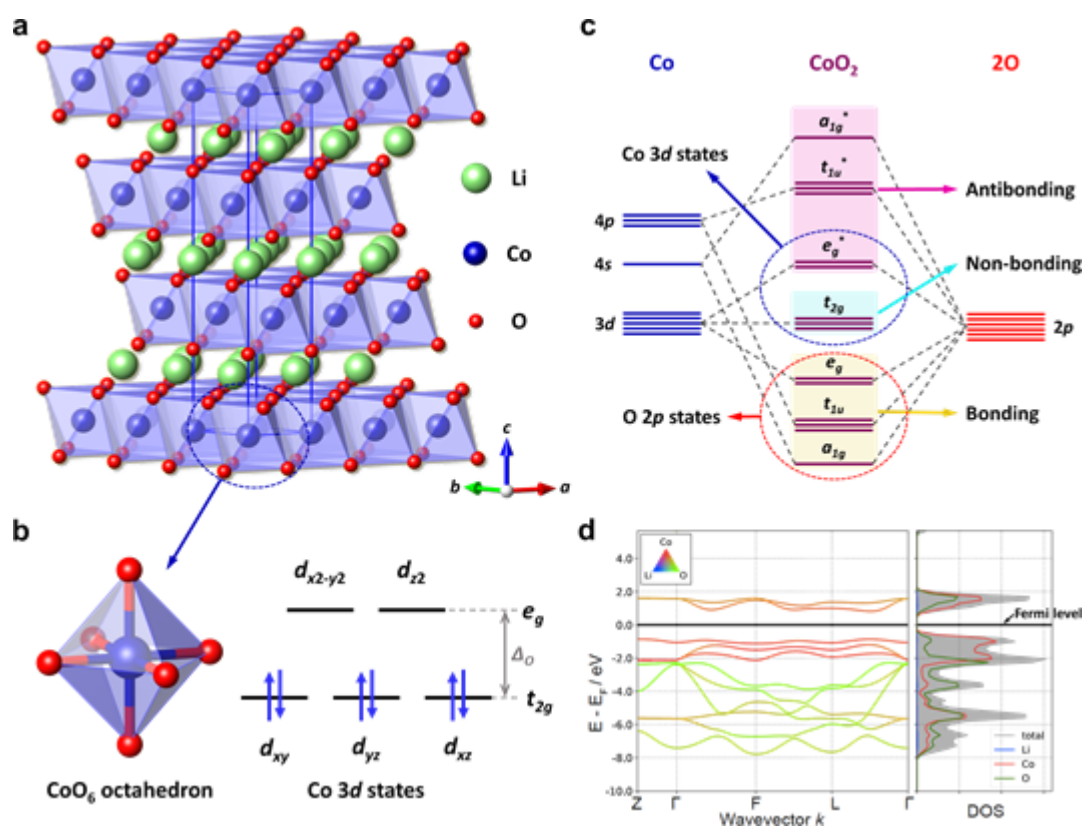

Figure 2. Crystal and electronic structures of LCO. a) Layered structure with blue frame defining unit cell. b) CoO$_6$ octahedron and schematic electronic configuration of Co^{3+} 3d states. c) Molecular orbital diagram for CoO$_2$ in octahedral environment. d) Calculated band structure and density of states with projections onto local orbitals. Adapted with permission.[17] Copyright 2021, MDPI.

In addition to O3, LCO also adopts O2- and O4-type layered structures. They cannot be obtained by direct calcination but through Li/Na ion exchange from layered sodic precursors, resulting in non-stoichiometric formulae with Li deficiency.[18] Besides, LCO has a low-temperature Li$_2$Co$_2$O$_4$ form with

a spinel structure.[19] However, compared to O3, these variants undergo more complicated phase changes with higher structural instability and worse battery performance. This review does not involve these kinds and focuses on O3-type LCO.

2.2. Electronic Structure

Co is trivalent (Co^{3+}) in LCO and situated in the octahedral environment formed by O. According to crystal field theory,[20] Co^{3+} splits its degenerate 3d orbitals into three low-energy t_{2g} (d_{xy}, d_{yz}, and d_{xz}) and two high-energy e_g (d_{x2-y2} and d_{z2}) states with a separation energy of Δ_O (i.e., octahedral ligand-field splitting energy) (Figure 2b). All six 3d electrons of Co^{3+} populate the t_{2g} orbitals and are preferentially paired up (d_{xy}^2, d_{yz}^2, and d_{xz}^2). This results in the low-spin (LS) electronic configuration of Co^{3+} (3d^6: $t_{2g}^6 e_g^0$) with minimized unpaired electrons (none in this case), further inducing the non-magnetic feature of LCO. Since the energy in electronic levels of Li is greatly higher than that of Co and O, Li does not mix its electronic states with those of Co and O. Therefore, the electronic structure of LCO is mostly dictated by the CoO$_2$ complex, especially the Co 3d and O 2p states with spatial overlap and energetic similarity.[21] Figure 2c depicts the more complete molecular orbital (MO) diagram. Specifically, covalent hybridization of σ overlapping between Co^{3+} 3d: e_g and O^{2-} 2p occurs and leads to the e_g and e_g^* orbitals, while Co^{3+} 4s and 4p are hybridized with O^{2-} 2p to induce the orbitals of a_{1g} and a_{1g}^*, and t_{1u} and t_{1u}^*, respectively.[22] The t_{2g} states of Co^{3+} 3d are shown as the non-bonding orbitals though they actually form some π hybridization with O^{2-} 2p.[23] These hybridized orbitals of LCO, including e_g^*, t_{2g}, e_g, t_{1u}, and a_{1g}, can be visualized more intuitively from the band structure calculated using density functional theory (DFT), while the density of states (DOS) also explicitly show the hybridization between Co^{3+} 3d and O^{2-} 2p states (Figure 2d).[17] Hence, the bonding orbitals of e_g, a_{1g}, and t_{1u} are governed by O^{2-} 2p states due to the lower energy level with strong bonding character, while the antibonding e_g^*, a_{1g}^*, and t_{1u}^* as well as the non-bonding t_{2g} orbitals by Co^{3+} 3d states. Besides, these electronic states of Co^{3+}: e_g^{*0} and Co^{3+}: t_{2g}^6 hybridized with O^{2-} 2p constitute LCO valence and conduct band, respectively, with the Fermi level positioned between them. The energy gap (E_g) of LCO was measured to be 2.7 eV, indicating a wide-gap semiconductor.[24] Furthermore, the σ overlap of Co^{3+} 3d and O^{2-} 2p states in octahedral environment induces a strong interaction between Co^{3+} and O^{2-}, which causes a short Co−O bond length (~1.92 Å) with a mixed ground state and makes LCO highly

covalent and structurally rigid with high elastic/shear modulus, hardness, and Poisson's ratio.[25] This also lowers the migration barrier of Li$^+$ and promotes fast two-dimensional (2D) Li$^+$ diffusion that follows a tetrahedral-site hop (TSH) mechanism, i.e., from one octahedral site to another through a tetrahedral intermediate. The relatively short Co-Co distance from orderly arranged Co^{3+} and minor defects like Co^{4+} in preparation endow LCO with excellent electronic conductivity, which contributes to remarkable rate capability with favorable Li$^+$ conductivity.[12]

Comparing electronic configurations of Co^{3+} ($3d^6$: $t_{2g}^6 e_g^0$), Ni^{3+} ($3d^7$: $t_{2g}^6 e_g^1$), and Mn^{3+} ($3d^4$: $t_{2g}^3 e_g^1$) in common layered cathodes, Co^{3+} is the most stable. Co^{3+}/Co^{4+} redox pair has a lower energy level than Ni^{3+}/Ni^{4+} and Mn^{3+}/Mn^{4+} because the redox-related d electron is in t_{2g} band for Co but e_g for Ni and Mn. This enables a higher redox potential of Co^{3+}/Co^{4+} than Ni^{3+}/Ni^{4+} and Mn^{3+}/Mn^{4+} so that LCO exhibits a larger operating voltage than other layered cathodes comprising Ni and Mn.[13] Besides, due to the absence of unpaired electrons in e_g band, Co^{3+} suffers from less severe Jahn-Teller distortion than Ni^{3+} and Mn^{3+}. Furthermore, as LS Co^{3+} is non-magnetic, no magnetic frustration exists between adjacent Co^{3+} in the triangle lattice of TM layers in LCO, in contrast to Ni-rich NCA and NCM with an unstable higher-energy state due to the magnetic Ni^{3+}.[26] These electronic structural fundamentals imply that LCO possesses higher structural stability than other layered oxides.

3. Structural Instability

As electrochemical charge and discharge (corresponding delithitation and lithiation) proceed, LCO undergoes solid-solution reactions that can be simply summarized as LiCo^{3+}O$_2$ $\rightleftharpoons$ Li$_x$Co$_x^{3+}$Co$_{1-x}^{4+}$O$_2$ + (1-x)Li$^+$ + (1-x)e$^-$, where "1-x" defines delithiation/lithiation molar amount. However, the actual cathodic process is far more complex than what this straightforward chemical equation suggests, as various structural changes arise and evolve at different scales and dimensions. This includes crystal and electronic structures regarding bulk and surface, and their variations badly degrade structural stability and, therefore, electrochemical performance.

3.1. Bulk Structure

Bulk structure beneath surface region of LCO particles is the main body of Li^+ storage, which determines overall structural stability and electrochemical behavior. Under external electric fields, Li^+ migrates in LCO bulk lattice, triggering structural strain and further phase transitions and local structural changes. This is a major hurdle for H-LCO and becomes more severe at high voltages.

3.1.1. Phase Evolution

Early studies indicated that Li^+ extraction and insertion in LCO lattice induce a series of bulk phase transitions.[27] These are determined almost unambiguously by mainly *in situ* PXRD (**Figure 3**a) in assistance with others like differential capacity/voltage (*d*Q/*d*V) analyses (inset of Figure 3b) and theoretical studies. Figure 3b illustrates the phases and their *c*- and *a*-axis length variations as they evolve with Li^+ concentration (*x* in Li_xCoO_2). Briefly, there are five phase transitions associating six structures, namely four O3 (H1, H2, M1, and H3; "H" and "M" represent "hexagonal" and "monoclinic", respectively), H1-3, and O1, during high-voltage electrochemical cycles (e.g., >4.7 V; Figure 3c). Following is the detailed phase evolution of LCO during charge.
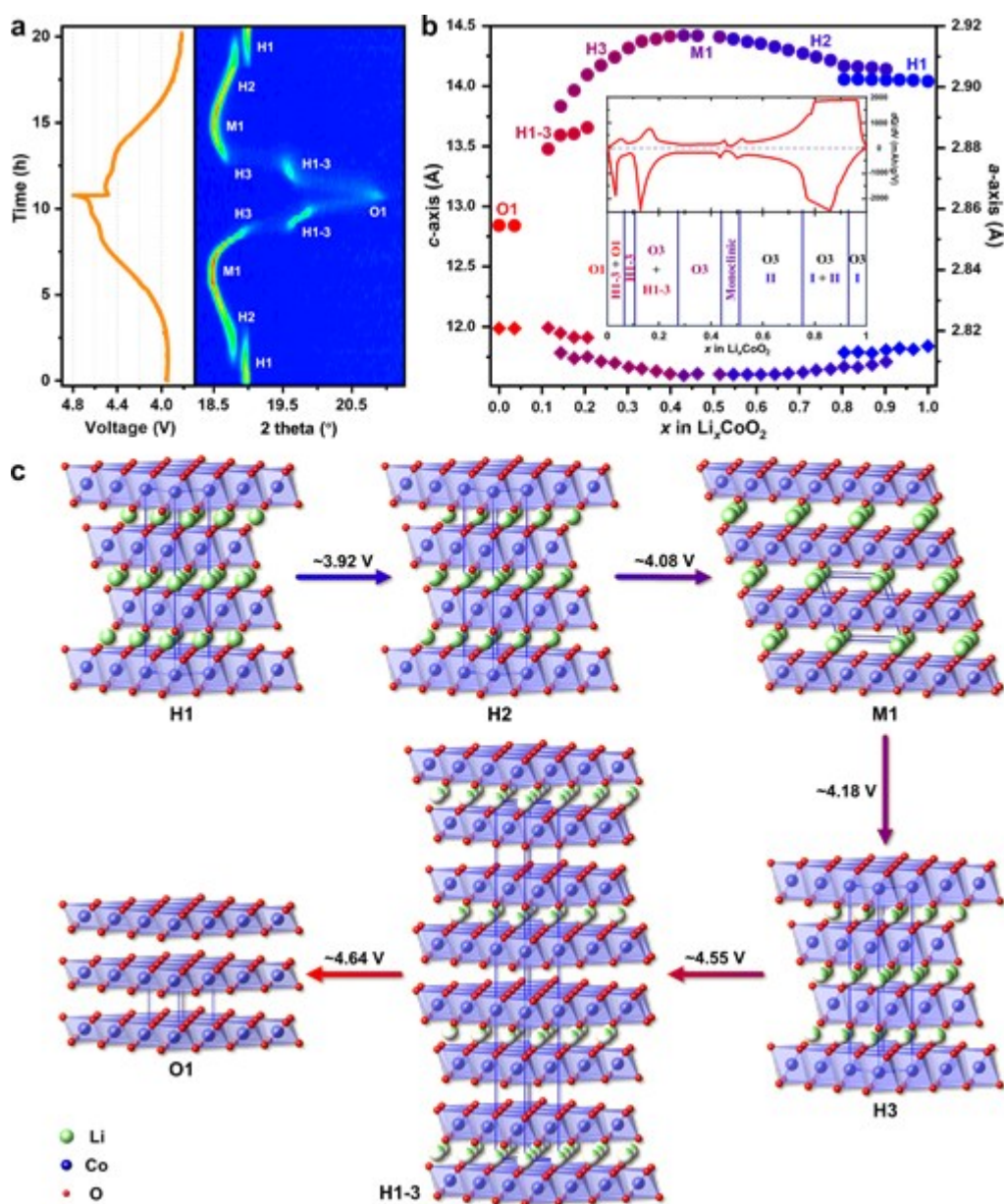
Figure 3. Phase evolution of LCO. a) *In situ* PXRD evolution of (003) peak with corresponding charge-discharge profile aligned to the left. Adapted with permission.[28] Copyright 2021, Springer Nature. b) Variation of *c*- and *a*-axis length in hexagonal unit cell. Adapted with permission.[27b] Copyright 1996, The Electrochemical Society. Inset showing typical *d*Q/*d*V profile and phase diagram. Reproduced with permission.[29] Copyright 2004, Elsevier. c) Schematic phase evolution during charge.

Pristine O3 LCO is also referred to as H1 or O3 I phase. At the beginning of charge ($0.94 \leq x \leq 1.00$), minor Li^+ extraction leads to AM vacancies and high-spin (HS) paramagnetic Co^{4+}, which possesses $3d^5$: $t_{2g}^3 e_g^2$ electronic configuration with maximized unpaired electrons (five in this case) due to its five $3d$ electrons preferentially occupying all $3d$ orbitals. This increases conductivity with decreased Seebeck coefficient,[30] while H1 is maintained as a solid solution with disordered Li^+/vacancy. The first phase transition from H1 to H2 (or O3 Ⅱ phase) then occurs at ~3.92 V when ~0.06 Li^+ is extracted, and H1/H2 biphase exists upon further delithiation ($0.78 \leq x \leq 0.94$) with a metallic character. This transition does not involve structural changes, although c- and a-axis length is becoming larger and smaller due to increased electrostatic repulsion between CoO_2 layers and oxidation of Co^{3+} to smaller Co^{4+}, respectively. H1/H2 phase transition is identified as a strong first-order insulator-metal transition as a Mott transition of impurities, attributed to the electron delocalization within CoO_2 layers and suggested by ^7Li magic-angle spinning (MAS) nuclear magnetic resonance (NMR), magnetic measurements, and theoretical calculations.[31] Recent work using *in situ* Raman and advanced optical tracking of ion dynamics confirmed again H1/H2 transition and biphase.[32] Besides, an intermediate, H2a, has been found in a small x range between H1 and H2 and is presumably related to charge rate (i.e., current density).[33] As charge proceeds ($0.53 \leq x \leq 0.78$), H1/H2 biphase vanishes to single H2 with linearly increased c-axis length and return of HS Co^{4+} to LS Co^{4+} ($3d^5$: $t_{2g}^5 e_g^0$) driven possibly by a unit-cell volume effect.[25a] The vacancies and residual Li^+ are disorderly distributed in AM layers from start of charge to H2 ($0.53 \leq x < 1.00$).

At ~4.08 V, half Li^+ is extracted ($x \approx 0.53$) with average Co valence rising to +3.5 (equal amount of Co^{3+} and Co^{4+}) and LCO experiences lattice distortion and a tendency of charge delocalization and energy minimization. This drives Li^+/vacancy ordering and results in H2 transitioning to M1 with three-fold symmetry loss. Such a transition has been well validated by *in situ* PXRD with peak splitting, cyclic voltammetry (CV), and theoretical calculations, and unit cell of M1 is indexed as a=4.90 Å, b=2.81 Å, c=5.05 Å, and β=108.3° with $C2/m$ space group.[34] The hexagonal and monoclinic unit cells can be converted as follows: $a_M=\sqrt{3}a_H$, $b_M=a_H$, $c_M=(c_H/3)\sin(\beta_M)$, and $\beta_M=180°-\tan^{-1}[c_H/(\sqrt{3}a_H)]$. The in-plane ordering of alternating Li^+/vacancy was later revealed by electron diffraction (ED) and space group of M1 was rectified to $P2/m$ for unique Li^+ site, with Li, Co, and O occupying $1a$, $1g$ and $1f$, and $2m$ and

$2n$ sites, respectively.[35] This was further verified by single-crystal X-ray diffraction (SCXRD) and direct observation of annular bright field-scanning transmission electron microscopy (ABF-STEM).[36] M1 has the largest c-axis and smallest a-axis throughout charge and discharge, possibly due to the electrostatic balance of attraction and repulsion between and within CoO_2 layers, respectively, implying anisotropic lattice strain and build-up stress. M1 may be more unstable than spinel phase, as it tends to decompose at a low temperature from transmission electron microscopy (TEM).[37] M1 is maintained with further delithiation ($0.46 \leq x \leq 0.53$) and then transforms back to a hexagonal solid solution known as H3 at ~4.18 V due to the reappearance of Li$^+$/vacancy disordering. Comparing H1, H2, M1, and H3, despite the changes in unit-cell parameters and Li content, stacking pattern of CoO_2 layers remains unchanged, indicating the retention of O3-type structure without layer gliding and sound reversibility between these phases.

Although H3 exists on further charge ($0.25 \leq x \leq 0.46$), decrease of c-axis is accelerated, aggravating structural instability with strain and stress. At ~4.55 V, a second biphase emerges with phase transition from H3 to another, which had been somewhat controversial. This phase was firstly observed from *in situ* PXRD and assigned as second monoclinic phase, M2; however, its exact structure was not thoroughly known despite only unit-cell parameters without space group.[27b] Some studies reported another phase following H3 but it could not be indexed to the so-called M2.[33, 38] Progressively, it was found that this phase should originate from a $CdCl_2$-type hexagonal structure while Ceder et al. proposed a hexagonal phase, H1-3, at low Li concentration from first-principle calculations.[39] H1-3 was later validated by Rietveld refinement against *ex situ* PXRD, yielding a unit cell of $a=2.819$ Å and $c=27.035$ Å with $R\bar{3}m$ space group,[40] whereas some also suggested that H1-3 might be a combination of H3 and M2.[3b] Hence, H3/H1-3 phase transition is finalized and widely accepted nowadays. H1-3 is a hybrid phase with structural features of O3 and O1 (end member of LCO charge), where Li is located at $3a$ site while Co and two O at three $6c$ sites. H1-3 adopts a complex O-layer stacking pattern of "ABABCACABCBC", and Li$^+$ is selectively segregated to every other AM layer while leaving the rest vacant, making six CoO_2 layers in unit cell. This indicates delithiation inhomogeneity from O3-type H3 to H1-3 with resulting structural strain. In H3/H1-3 biphase region ($0.12 \leq x \leq 0.25$) with continuous

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delithiation, the converted c-axis length decreases sharply with deteriorative structural instability, which is the major bottleneck for current H-LCO.

As deep delithiation proceeds, H3 disappears and H1-3 solely persists in $0.06 \leq x \leq 0.12$. The last phase transition from H1-3 to O1 occurs at ~4.64 V, and H1-3 coexists with O1 until state of charge (SOC) reaches 100%. Despite monoclinic distortion, O1 has a CdI$_2$-type hexagonal structure with $P\bar{3}m1$ space group and a unit cell of a=2.822 Å and c=4.293 Å.[27b] O1 is Li-free with chemical formula CoO$_2$, where Co and O occupy respective $1a$ and $2d$ sites while O layers are "ABAB" stacked along c-axis with one CoO$_2$ layer in unit cell. O1 is very unstable due to highly active Co^{4+} and shortened interlayer O-O distance associated with electron-hole chemistry, which transforms to β-Co(OH)$_2$ upon exposure to atmosphere. Besides, H1-3/O1 phase transition may be more complicated, as an unknow intermediate has been observed.[41] Compared to other transitions, H1-3/O1 exhibits the largest lattice strain and thus severely degrades structural stability. Although Li$^+$ can be reinserted into CoO$_2$ interlayer space of O1, seemingly potential in practice, the drastic structural changes make it unpractical, as least for now.

During discharge, LCO undergoes reverse phase transitions, but usually at lower voltages due to polarization. Typically, discharge to 3.0 V, the common lower cut-off voltage of LCO, allows Li$^+$ to fully occupy AM vacancies restoring LiCoO$_2$ formula. However, a recent electron paramagnetic resonance (EPR) study uncovered that LCO does not fully recover to pristine state, suggesting more complex structural changes.[42] If applying a smaller discharge voltage like 1.0 V, as excess Li$^+$ is injected into LCO lattice, gradual reduction of Co^{3+}, i.e., LiCoO$_2$ → Li$_{1+x}$Co^{II}Co^{III}O$_{2-y}$ → Co + Li$_2$O, occurs during initial discharge and conversion of Co ↔ CoO ↔ Co$_3$O$_4$ ↔ Li$_x$CoO$_y$ is enabled in subsequent cycles.[43] Despite larger initial capacity, this low-voltage discharge is not practical due to severe structural strain with remarkable volume expansion and detrimental cathode-electrolyte side reactions.

3.1.2. Single-Particle Structure

For more precise electrochemistry, micro-electrode technique was employed on LCO single particle and revealed that its electrochemical performance regarding capacity, impedance, rate capability, and Li$^+$ diffusion coefficient, is comparable to that of bulk.[44] However, early TEM and ED showed that even cycled at low 4.2 V, severe strain and stress, defects and dislocations, and occasional fracture were detected in LCO single particles, accompanied by irreversible transition to spinel phase owing to octahedral defects and tetrahedral ordering.[37a, 45] This means that electrochemically induced changes in LCO single-particle structure may differ evidently from those of bulk, so special care is needed when dealing with local structures.

Using three-dimensional (3D) continuous rotation electron diffraction (cRED) combining high-resolution transmission electron microscopy (HRTEM), we investigated single-particle structure of two commercial LCOs, i.e., a normal LCO (N-LCO) and an H-LCO, at atomistic level.[28] cRED has revealed various complex material structures but is less applied in LIBs (**Figure 4**a).[46] While these LCOs have essentially the same composition, particularly elemental dopants, and overall structure, *in situ* PXRD and electrochemical tests suggest that H-LCO structure is more stable, which is challenging to interpret by routine techniques. cRED is thus employed to probe more useful structural details at different charge voltages, including open-circuit voltage (OCV), 4.2 V, 4.5 V, 4.6 V, and 4.8 V. It is found from reconstructed 3D reciprocal lattice that N-LCO single particles always exhibit more streaked reflections rather than discrete and well-shaped ones along c^*-axis (Figure 4b,c) while opposite is true for H-LCO (Figure 4d,e). This strongly implies more structural disorder regarding CoO$_2$ layers in N-LCO. HRTEM with focused-ion beam (FIB) milling evidences this, as more curved lattice fringes corresponding to CoO$_2$ layers with structural curvature are visualized in N-LCO than H-LCO, especially in vicinity of particle surface (Figure 4f-i). These directly indicate structural disorder and are consistent with cRED, demonstrating that curvature of CoO$_2$ layers is detrimental to structural stability, as backed up by further theoretical calculations. Hence, our single-particle study discloses that flatness of CoO$_2$ layers, peculiarly in near-surface region, is crucial for high-voltage structural stability of LCO.
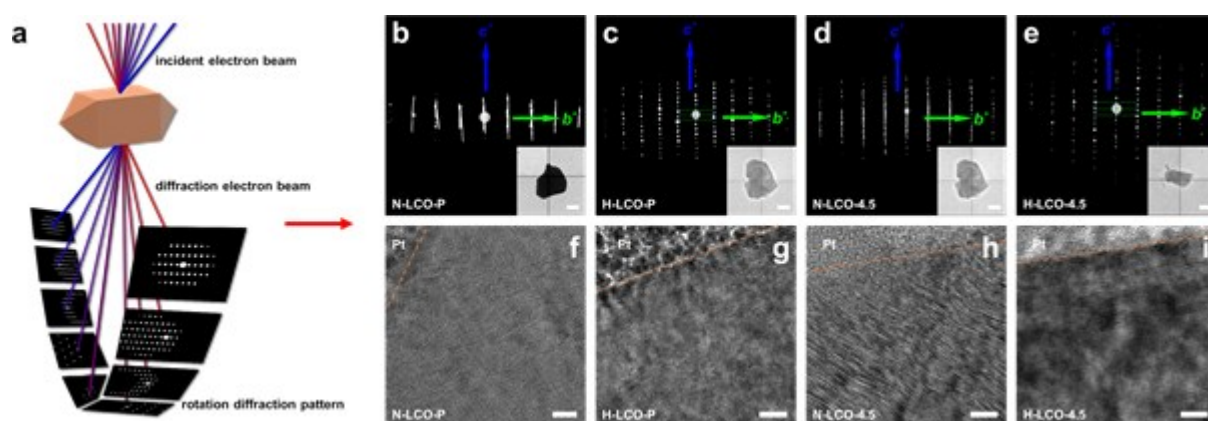
Figure 4. cRED schematic and combined cRED and HRTEM characterizations of N-LCO and H-LCO. a) Schematic data collection of cRED. b-e) Reconstructed 3D reciprocal lattice of N-LCO-P (b), H-LCO-P (c), N-LCO-4.5 (d), and H-LCO-4.5 (e) viewed along a^*-axis, with corresponding single particles as insets. f-i) HRTEM images of near-surface region of N-LCO-P (f), H-LCO-P (g), N-LCO-4.5 (h), and H-LCO-4.5 (i) slices after FIB milling, with Pt deposited as surface protection. Suffix "P" and "4.5" denoting "pristine" and "charge to 4.5 V", respectively; scale bars: 500 nm for insets in b,e), 1 μm for insets in c,d), and 5 nm for f-i). Reproduced with permission.[28] Copyright 2021, Springer Nature.

During electrochemical cyling, LCO bears diverse structural defects and its single particles are inhomogeneous in reactivity. For instance, Yu et al. identified SOC heterogeneity along with formation, population, and evolution of inactive domains in LCO single particles.[47] They suggested that this heterogeneity is closely related to rate and a higher current is inclined to cause inhomogeneity in electrochemical reactions and Li$^+$ distribution. Besides, they revealed high structural uniformity of LCO single particles by scanning hard X-ray nano-diffraction, implying that structural rigidity weakens flexibility and is disadvantageous to electrochemical performance.[48] Recently, Rao et al. used an optical interferometric scattering microscope to track the nanoscale ion dynamics on an LCO single-particle matrix.[32b] Not only insulator-metal H1/H2 transition, H2 solid-solution evolution, disordering-ordering H2/M1 transition, and their Li$^+$ diffusion dynamics are visualized and quantified at single-particle level, but more importantly the formation and destruction of M1 domains with varied orientations are captured in real time in a Li$_{0.5}$CoO$_2$ particle. These studies indicate the electrochemical heterogeneity in LCO single particles, which causes inhomogeous delithiation and lithiation with

segregation of phase and phase transition and aggravates structural instability with intensified strain and stress.

3.1.3. Twin Boundary

Twin boundary is very common even in pristine LCO particles, although they may appear as single crystals from outside. Electron backscattered diffraction (EBSD) revealed that over 40% pristine LCO particles contain twin boundaries with an obvious trench on surface.[49] Previous studies have identified two types of twin boundary in LCO: one is coherent twin boundary with a 109.5° intersecting angle between two crystal basal planes and a small boundary energy of 0.3 J·m^{-2}; the other is antiphase domain boundary with exchanged AM and TM layers and a large boundary energy of 2.8 J·m^{-2}.[50] However, their effects on LCO structural stability were not investigated in detail at that time. Recently, Sui et al. studied LCO twin boundary during electrochemical cycles by atomic-resolved high-angle annular dark-field (HAADF) STEM.[49] Coherent twin boundary is found to act as an intrinsic planar defect that energetically favors not only cracks but also irreversible transition from O3 to O1 phase, accompanied by expansion of intersecting angle to larger ~121° and void formation with lattice loss (**Figure 5**a-d). Two crack mechanisms are unveiled: deformation-type cleavage cracks with mechanical failure at low voltages (<4.4 V) and thermodynamic decomposition cracks as cracking nucleation mechanism upon high voltages (>4.4 V), which propagate into bulk lattice during long-term cycles and degrade LCO structural stability. Similar results are achieved in NCM811, showing that twin boundary within "quasi-single-crystalline" particles aggravates irreversible phase transitions and capacity deterioration.[51] Accordingly, twin boundary should be a preferred starting point for structural deformation and degradation, which needs caution and ought to be avoided as much as possible during synthesis.
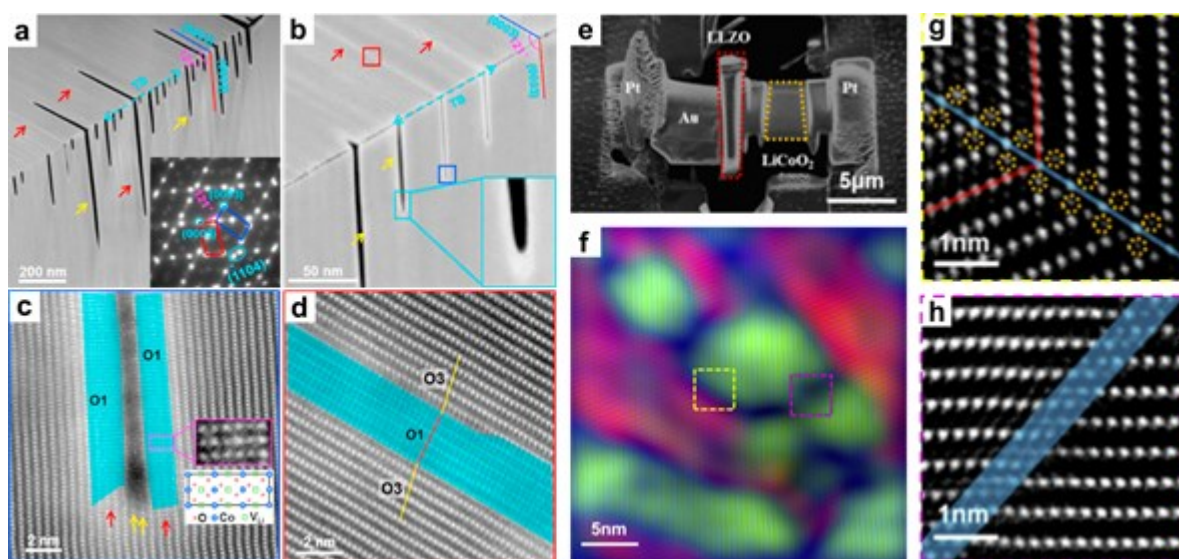
Figure 5. Twin boundary in LCO. a) Low magnification with corresponding ED pattern and b) enlarged HAADF-STEM images with red and yellow arrows indicating bright strips and cracks, respectively. c,d) HAADF-STEM images of blue (c) and red (d) area in b). Reproduced with permission.[49] Copyright 2020, Elsevier. e) Scanning electron microscopy (SEM) image of the micro-scale all-solid-state cell on nanochip. f) HAADF image of charged LCO colored by geographical phase analysis. g,h) HAADF-STEM images of yellow (g) and pink (h) area in f), with the constrast in AM layers showing Co presence. Reproduced with permission.[52] Copyright 2017, American Chemical Society.

Twin boundary also arises during electrochemical process, possibly due to release of structural stress. Using *in situ* STEM, Li et al. observed LCO structure in a micro-scale all-solid-state cell with Y- and Ta-doped LLZO ($Li_{6.75}La_{2.84}Y_{0.16}Zr_{1.75}Ta_{0.25}O_{12}$) SSE and Au anode (Figure 5e).[52] After charge to 2.1 V (vs Au; equal to 5.1 V vs Li/Li$^+$), pristine LCO single crystal fragments into numerous nanosized domains with abundant twin boundaries, including both coherent and antiphase ones with antisite Co (Figure 5f-h). Theoretical studies show that higher activation energy is required for Li$^+$ migration along and across these boundaries, affecting structural stability and rate capability.[50b] Recently, Kim et al. overcharged LCO to extremely high 6.0 V and found severe wedge-shaped twin boundary.[53] Not only uncommon corundum Co_2O_3 and spinel Co_3O_4 is revealed, but also lots of voids near twin boundaries with serious

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cation mixing. Hence, LCO structural degradation is attributed to phase heterogeneity and local SOC imbalance induced by overcharge, emphasizing again the adverse electrochemical heterogeneity.

3.1.4. Layer Gliding

At high voltages, CoO_2 layers do not remain stationary but glide between each other. This is a spontaneous structural change that occurs as a result of the tendency to a more stable state with lower energy, although it is less stable than pristine state. Early studies disclosed significant dislocations in individual LCO particles, forming the so-called single crystals rather than stacks of independent crystallites.[45, 54] Macroscopically, these dislocations are reflected by the staircase-like morphology on LCO particle surface, which is common and becomes pronounced after cycling (**Figure 6**a,b). This directly indicates the relative CoO_2 layer gliding along basal plane, driven primarily by dissociation of a perfect dislocation into Shockely partial dislocations.[54] In addition, bulk phase transitions between O3, H1-3, and O1 are correlated with CoO_2 layer gliding, whose sluggish kinetics may be one of the causes for their poor reversibility.
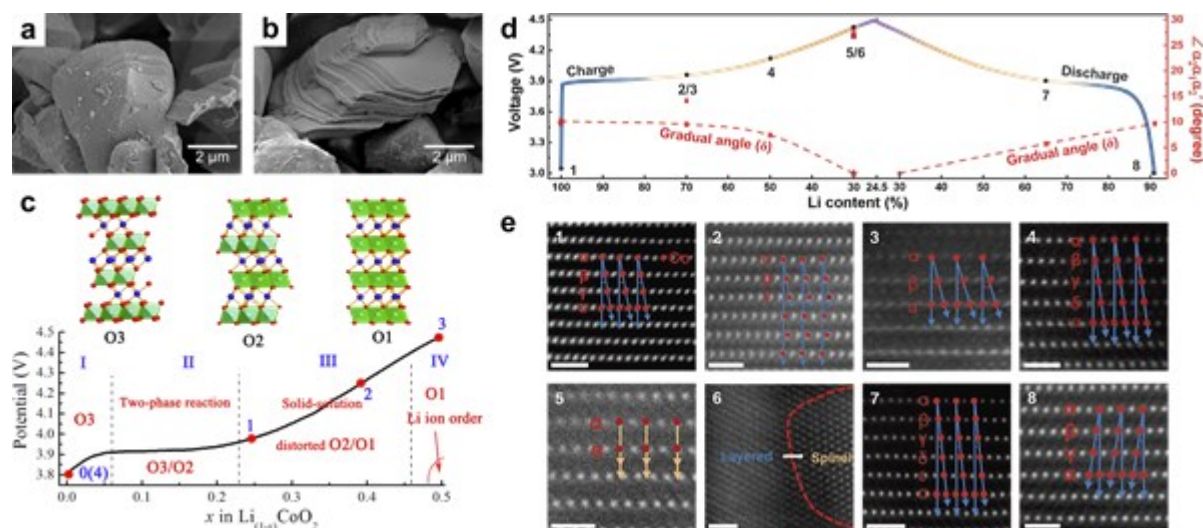

Figure 6. Layer gliding in LCO. a,b) Typical SEM images before (a) and after (b) cycling. Reproduced with permission.[55] Copyright 2018, American Chemical Society. c) Phase diagram correlating O3, O2, and O1. Reproduced with permission.[36a] Copyright 2012, American Chemical Society. d) Electrochemical profiles

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showing O3 (blue), O1 (orange), and rock-salt structure (purple) regions and gradual angles. e) HAADF-STEM images at corresponding states in d); scale bar: 1 nm. Reproduced with permission.[56] Copyright 2022, National Academy of Science.

With HAADF-STEM, Hu et al. achieved direct observation of CoO_2 layer gliding.[36a] Not only an O1 structure with ordered Li^+/vacancy at $x \approx 0.5$ ($Li_{0.5}CoO_2$) is found, but more importantly a new phase transition path from O3 to O2 then to O1 during charge to 4.5 V and from O1 back to O2 instead of O3 during discharge, showing irreversible CoO_2 layer gliding (Figure 6c). This first reports O2 in the phase transition of O3 LCO and associates previously unrelated structures. Recently, an in-depth investigation on kinetic mechanism of CoO_2 layer gliding was conducted.[56] By introducing a gradual angle (δ) to quantify gliding degree at various stages, a collective and quasi-continuous process for CoO_2 layer gliding is identified (Figure 6d,e), completely unlike conventional phase separation or solid-solution transition. Such gliding reveals a connection between CoO_2 layers, which allows delithiation to perceive Li^+ migration and rapidly respond to even a small change in Li^+ concentration with structural adjustment represented by gradual angle. An O1 is found at $x=0.3$ ($Li_{0.3}CoO_2$) and δ decreases from ~9.847° in pristine O3 to nearly 0° in O1 and recovers during charge and discharge, respectively. Furthermore, new CoO_2 layer stacking patterns distinct from O3, H1-3, and O1 and local phase transition from O3 to O1 then to spinel and rock-salt phases are observed. These indicate that local structure of CoO_2 layer gliding may undergo more complex variations than bulk phase.

Upon higher 4.7 V, CoO_2 layer gliding occurs acutely and induces O3 to fully transform to O1. This variation in layer gliding causes substantial structural instability as it makes spinel phase transition easy to proceed even at low-temperature heating like 200 °C for 30 minutes.[57] Similar layer gliding has been explored in Ni-rich layered cathodes, implying its high correlation with intralayer TM migration and microstructural defects.[58] These suggest that suppressing oxygen vacancy may inhibit TMO_2 layer gliding and further irreversible phase transitions due to increased migration barrier.

3.2. Surface Structure

Surface is the termination of LCO bulk and directly contacts other LIB components like electrolytes and binders, governing Li$^+$ exit and entry and serving as a key in electrochemical performance. However, due to symmetry breaking with dangling bonds and exposure to external stimuli, LCO surface structure differs obviously from bulk phase with inferior stability even at pristine state. For example, surface Co tends to occupy meta-stable tetrahedral interstices, leading to cobalt dissolution without cycling, and curved CoO_2 layers and structural distortion on LCO surface have been visualized.[28, 36a] Hence, LCO surface is structurally unstable and undergoes more complex physicochemical processes during electrochemical cycles.

3.2.1. Interfacial Side Reaction

Interfacial side reactions between electrode and electrolyte occur inevitably and irreversibly, which change electrode surface structure and influence electrochemical behavior. Theoretically, if cathode potential lies below the highest occupied molecular orbital (HOMO) energy level of electrolyte, oxidization of the latter by former reacts at the cathode-electrolyte interface (CEI), forming the CEI film that prevents further contact and reactions. CEI on LCO surface was proposed by Goodenough et al. and validated later,[59] which grows thicker with increased temperature and cycles and is identified as a complex mixture. Currently, LCO CEI is widely recognized as a combination of inorganic (e.g., LiF, Li_2O, Li_2CO_3, $Li_xF_yPO_z$) and organic (e.g., carbonates, oligomers, polymers) components, similar to the solid electrolyte interface (SEI) on anode. These species restrict migration of Li$^+$ and/or electron with enhanced interfacial impedance and can be tuned by electrolyte compositions and cycling conditions.

Atomic force microscopy (AFM) indicated that CEI is a thin and loose film composed of fibrillar structures and inclined to form on LCO edge plane rather than basal one.[60] Using X-ray photoelectron spectroscopy (XPS), Li et al. carried out a thorough and quantitative study on dynamic CEI composition.[61] Surface species like CEI components and carbon additives vary obviously with electrochemical cycles (**Figure 7**a). A CEI index defined as (discharge ratio–charge ratio)/charge ratio × 100% is introduced to track its component change, revealing a strong correlation with SEI

composition on Li anode and further an electrode interaction (Figure **7**b,c). Although CEI thickens with increased cut-off voltages, it becomes unstable above 4.5 V and may decompose with long-term cycles. Kang et al. confirmed this, finding that the surface layer on LCO leaches out faster than accumulation upon charge to 4.8 V instead of less oxidative 4.6 V, which is considered as a "subtractive" modification for reducing polarization and unlike conventional "additive" surface modifications like coating.[62] Besides, it suggests that the structural instability of Li_xCoO_2 ($x<0.5$) bulk may finitely affect cycling stability and partially explains why surface coating improves LCO high-voltage structural stability.
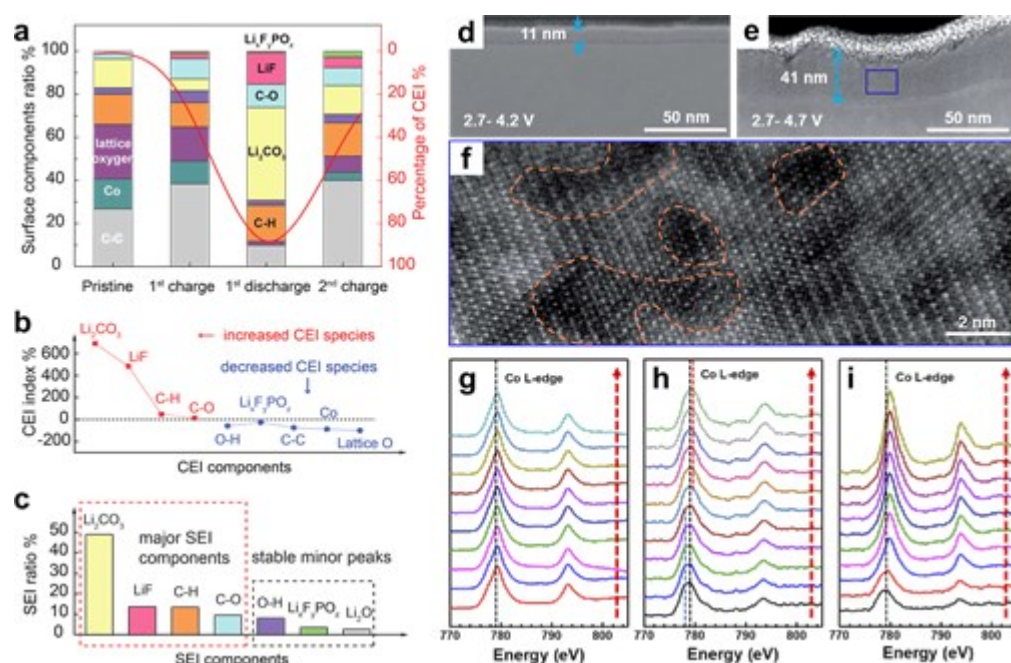
Figure 7. Interfacial side reactions on LCO. a) Dynamic CEI composition, with the species above red curve considered as CEI components. b) CEI index of major components and c) corresponding SEI composition. Reproduced with permission.[61] Copyright 2018, Elsevier. d,e) TEM images of surface degradation layer. f) HAADF-STEM image of blue area in e). Reproduced with permission.[57] Copyright 2019, The Royal Society of Chemistry. g-i) EELS spectra of Co L-edge at different states: pristine (g), charge to ~4.18 V (h), and discharge to 3.0 V (i), with red dash arrow showing direction from surface to bulk. Reproduced with permission.[63] Copyright 2019, American Chemical Society.

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3.2.2. Phase Transition

During electrochemical cycles, structural rearrangements and irreversible phase transitions occur on LCO surface with Co reduction, which are caused by cation defects (antisites and vacancies) and side reactions and propagate inside upon long-term cycles.[37a, 64] For layered cathodes, surface phase transition is more intense and complex and unlike that of bulk phase, readily follows a trend from layered to spinel and eventually to disordered rock-salt structure, which proceeds most gently in LCO but intensifies with increased voltages, temperature, and cycles.

Through HAADF-STEM, Sui et al. identified a degradation layer beneath CEI and composed of mainly spinel and voids in cycled LCO surface, which monotonically thickens with improved voltages (Figure **7**d-f).[57] Such a layer implies severe surface Li/Co antisites that result in irreversible phase transitions with deteriorated stability and stress release. Other studies using electron energy loss spectroscopy (EELS) reveal that after cycling, surface Co valence is lower than expected +3 and mixed phases like $LiCo_2O_4$, CoO, and Co_3O_4 are formed, accompanying nanocracks with Li^+ deficiency to accelerate structural degradation.[64-65] Moreover, Lu et al. screened the spatial gradient of surface Co valence following +2 to +3 then to +4 from surface to bulk at both delithiation and end of discharge (Figure **7**g-i).[63] This is ascribed to the interfacial side reactions of surface high-valent Co^{4+} reduction by electrolytes.

3.2.3. Cobalt Dissolution

LCO-electrolyte interfacial side reactions reduce oxidative Co^{4+} to Co^{2+}, which can leave LCO surface to enter electrolyte. This is cobalt dissolution, causing Co loss and further surface structural degradation. Besides, Co^{2+} can migrate to anode, destroy SEI there, and eventually deposit on anode surface. Tarascon et al. first quantified cobalt dissolution by analyzing the deposited cobalt species on Li anode, suggesting that it is aggravated with increased voltages and cycles.[66] A direct correlation between cobalt dissolution and capacity loss was then established. Also, minor water contaminant in electrolyte and elevated temperatures can accelerate cobalt dissolution. As a result, not only active

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materials of LCO and electrolytes are lost, but also the surface structure of both electrodes is destroyed, thus exacerbating capacity and kinetics decay.

3.2.4. Oxygen Redox

Anionic redox of lattice oxygen is attracting wide interest, which is progressively involved in charge transfer upon deep delithiation and alters LCO structural stability. Theoretically, as charge proceeds in Li_xCoO_2 (0.5≤x≤1.0), electrons are extracted from Co 3d: t_{2g} orbitals, raising Co valence from +3 to +4, while density of state remains almost unchanged with only a slight downward shift of Fermi level. As x≤0.5, electrons in Co 3d orbitals top are nearly depleted and Fermi level considerably shifts downward with O 2p band top overlaping broadened Co 3d state. Hence, hybridization of Co 3d and O 2p states is enhanced and electrons start to transfer from O 2p to Co 3d: e_g orbitals for further delithiation with local O 2p holes produced.[67] This leads to oxidation of lattice oxygen with shortened O-O distance to even form peroxides or O_2, which evolves more drastically in surface due to instable structure and outer stimuli. As a result, oxygen loss from Li_xCoO_2 (x≤0.5) can be further trigered to propel the compositions within the $LiCoO_2$-$Li_{0.5}CoO_2$-Co_3O_4 tie-line triangle in the Li-Co-O ternary phase diagram,[68] while resulting oxygen vacancies may also cause spin flip or even electron injection in nearby Co 3d electronic structure. Oxygen redox in LCO may be restrained by HS Co,[69] but various studies have shown that O, especially that in surface, mainly and passively compensates for charge above 4.4 V.[63] Similar anionic redox is found in other layered oxides like LMRO and Li_2MnO_3, but is more severe.

Oxygen redox can be probed by resonance inelastic X-ray scattering (RIXS) technique. As the O K-edge RIXS spectra of LCO shown in **Figure 8**a, a well-defined shoulder appears at ~523.5 eV emission energy upon deep charge, implying oxidization of lattice oxygen to higher valence ($O^{2-} \rightarrow O_2^{n-}$) with possible O−O bonding interaction.[70] This may further cause surface O_2 release resulting from bond breakage since Co−O bonding is too covalent to distort at high delithiation, which along with CO_2 from electrolyte oxidative decomposition can be detected by *in situ* differential electrochemical mass spectrometry (DEMS) (Figure 8b).[71] These suggest structural instability and safety risk of LCO surface,

as high-valence O can not sustain at particle surface and tend to release to destroy CEI film.[72] An *in situ* STEM and EELS study discovers that heating LCO surface after 4.6 V cycling also leads to O_2 production (Figure 8c), which is attributed to reduced O/Co ratio with surface voids and cracks formed (Figure 8d and e) and associates oxygen redox with Co migration and reduction facilitated by oxygen vacancies.[73]
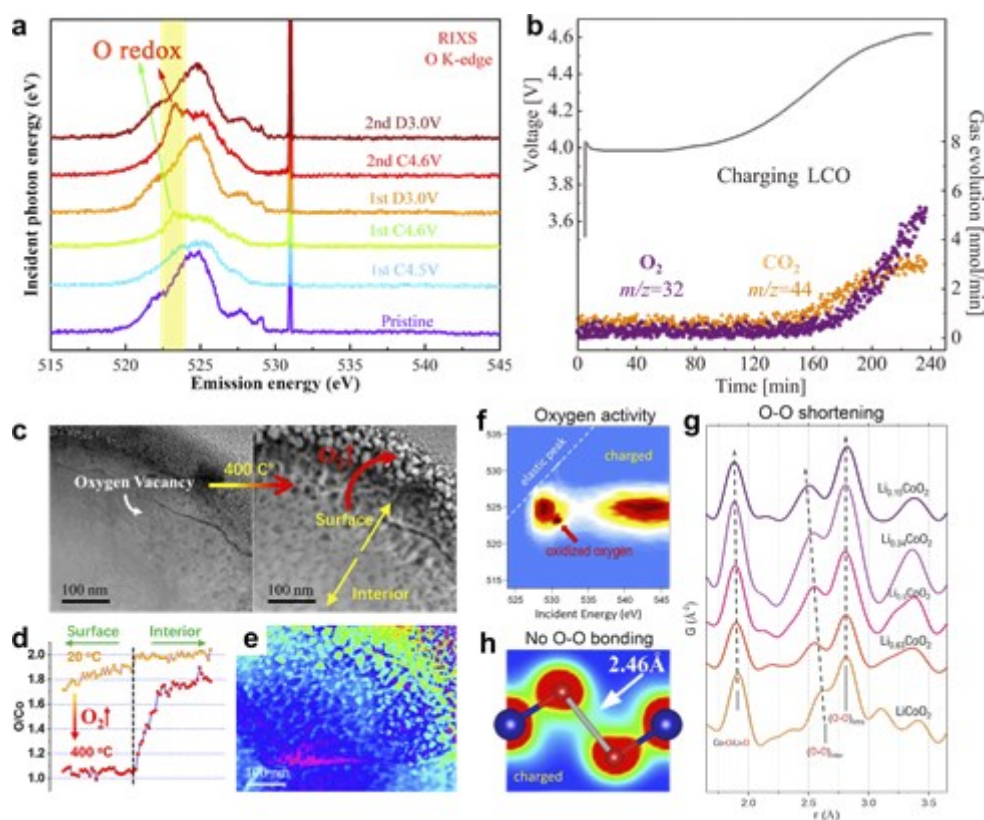

Figure 8. Oxygen redox in LCO. a) O K-edge RIXS spectra at various states. Reproduced with permission.[70] Copyright 2021, John Wiley & Sons, Inc. b) O_2 and CO_2 release detected by *in situ* DEMS. Reproduced with permission.[71] Copyright 2020, John Wiley & Sons, Inc. c) *In situ* STEM of heating cycled LCO surface. d) EELS-quantified O/Co ratio before and after heating. e) STEM image with the contrast showing surface nanovoids and cracks. Reproduced with permission.[73] Copyright 2020, American Chemical Society. f) O K-edge mRIXS after 4.8 V charge. g) *Ex situ* NPDF during charge. h) Sectional view of partial charge density in TM−O bonding energy range after charge. Reproduced with permission.[74] Copyright 2021, Elsevier.

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Although oxygen redox evolution and its involvement in charge transfer remains poorly established, researches have revealed their close relation with LCO surface structure, including irreversible transitions with cobalt dissolution, exposed crystal facets and specific surface area, and interfacial side reactions.[57, 75] Despite that oxygen redox is more pronounced in highly delithiated surface, this does not signify its absence in LCO interior. An SCXRD study showed that minimum interlayer O-O distance shortens from 2.6180(9) Å in pristine $LiCoO_2$ to 2.5385(15) Å in $Li_{0.35}CoO_2$, indicating participation of bulk lattice oxygen in charge compensation.[76] Recently, Yang et al. investigated LCO oxygen redox in depth.[74] By mapping of RIXS (mRIXS), distinct oxygen redox is revealed at 523.5 eV emission energy after 4.8 V charge (Figure 8f), which is derived from intraband excitation to the unoccupied O $2p$ final state. Neutron pair distribution function (NPDF) confirms this, as interlayer O-O distance decreases continuously during charge (Figure 8g). Nonetheless, theoretical calculations indicate that no O−O bonding interaction is formed even deeply charged (Figure **8**h). Hence, oxygen redox should occur globally in LCO lattice and is disadvantageous to structural stability. On one hand, ccp oxygen framework is the structural backbone and its changes reduce overall stability; on the other hand, oxygen redox allows electron injection from HOMO energy level of electrolytes into O $2p$ band, causing electrolyte decomposition. And under other influences like interfacial side reactions and cobalt dissolution, such a process proceeds more vigrously in LCO surface, leading to structural destruction with O_2 release and phase transitions.

3.3. Structural Issue

Essentially, the high-voltage instability of LCO structure is attributed to the structural strain induced by Li$^+$ extraction and insertion chemistry, fundamentally the changes in ionic radii and electronic configurations of redox species and in electrostatic interaction between CoO_2 layers. These processes are spontaneous and impossible to avoid, drive various structural variations and build-up stress, and ultimately destroy LCO structure.

Structural stability of LCO bulk is mainly degraded by phase transitions beyond H3, namely H3/H1-3 and H1-3/O1, which are poorly reversible with signifcant anisotropic lattice strain and considered as a primary barrier for H-LCO. Specifically, c-axis length drastically shortens by ~5.3% from H3 to H1-3 and further by ~5.9% upon O1, in contrast to ~2.7% decrease over a larger x range from H1 to M1, while a-axis length varies in opposite direction, thus causing serious intraphase stress. These transitions are accompanied by sluggish CoO_2 layer gliding and inhomogeneous delithiation/lithiation to exacerbate stress. Worse still, sequential Li^+ extraction/insertion induces inexorably heterogeneity in not only Li^+ distribution but more crucially structures with interphase stress generated within individual particles. In addition, deleterious local strain like lattice curvature, dislocations, twin boundaries, as well as cobalt migration and oxygen redox, farther reduces structural reversibility. On the other hand, the inherent stiffness of LCO structure is detrimental since Co−O bonding is so strongly covalent that it hardly yields to structural strain and distorts, making it difficult to reconcile structure and strain and unfavorable to stress release at high voltages. This leads to massive stress accumulation and is the driving force behind formation of twin boundaries, cracks, and pulverization of LCO particles, which results in fresh surface structure exposed and readily damaged with a significant drop in elastic modulus, hardness, and fracture toughness.[77] Such structural instability is further intensified by long-term cycles and cause eventual bulk collapse with capacity decay.

Intrinsically unstable LCO surface changes more intricately and severely in electrochemical cycles to weaken high-voltage stability. Diverse surface variations, like interfacial side reactions, irreversible phase transitions, cobalt dissolution, and oxygen redox, are positively correlated and mutually reinforcing. For example, surface high-valence Co^{4+} and O_2^{n-} speices from deep delithiation facilitate interfacial side reactions and catalyze CEI decomposition, which in turn promotes cobalt dissolution and oxygen redox. Migration and antisites of cation/vacancy are also boosted, leading to phase transitions and gas release as less oxygen is needed in resulting spinel and rock-salt structures. These processes repeat during cycling and undermine surface structure, notably upon high voltages, which not only expend active components but also impede interfacial Li^+ transport with increased impedance and capacity loss. Moreover, such structural changes attenuate the van der Waals force between LCO

surface and other electrode ingredients, i.e., binders like polyvinylidene difluoride (PVDF) and conductive agents like super P, causing contact failure that further impairs LCO surface structure.

Moreover, LCO structural stability is also subject to electrochemical factors besides voltage and cycling, especially to inhomogeneous electrochemistry. This is mainly ascribed to the varied orientations, distribution, and distances to current collector of LCO particles, as well as to non-uniform conductive network built by discontinuous conductive agents and binders.[78] These lead to polarization between and within individual particles and aggravate the already heterogeneous Li$^+$ extraction/insertion and phase distribution. Furthermore, a high rate amplifies such electrochemical and structural heterogeneity,[47] which accelerates strain/stress accumulation and deteriorates structural stability.

4. Structural Stabilization

Structural stabilization towards H-LCO should tackle two aspects, i.e., bulk and surface structures, and corresponding strategies—elemental doping and surface modification—have been extensively studied with remarkable progress made in recent years. Despite direct contribution to respective bulk and surface, these strategies are not completely independent but closely related. Specifically, elemental dopants can enter the lattice, be enriched, or even form coatings on LCO surface vicinity as surface modification, whereas the elements of surface coating can diffuse inside to act as subsurface doping. In the following, stabilization of LCO is elaborated by modification strategies to further our structural understanding.

4.1. Elemental Doping

Elemental doping is most effective for stabilizing LCO bulk. Dopants can reside at Li$^+$, Co^{3+}, and O^{2-} sites depending on their own properties, particularly electronegativity and ionic radius, and tune LCO structure at atomic scale, like defect concentration and distribution, cation rearrangement, charge

redistribution, and electronic structure.[79] This endows benefits to strengthen structural stability, including suppression of irreversible phase transitions with alleviated strain/stress, mitigation of oxygen redox to stabilize anionic framework, expansion of interlayer spacing for enhanced Li$^+$ diffusion, and modulation of electronic structure with improved conductivity. However, the very low doping concentration in LCO (e.g., <0.5 wt% in commercial applications) hinders characterization of dopant homogeneity, form, and local structure and a deeper comprehension of its effect on structural stability. Despite massive elements used as LCO dopants so far, their roles are mostly derived qualitatively by comparing structures and performance before and after doping, thus not thoroughly undertood. Not to mention that dopants slightly reduce capacity while determination of the optimal doped element types, contents, and/or their combinations, doping strategies, synthetic techniques, etc., necessitates huge attempts and endeavours. Generally, foreign elements in LCO lattice disrupt original structral property to a degree and affect strain behavior like CoO$_2$ layer gliding, phase transition, and oxygen redox, which is nevertheless beneficial to structural stabilization. Among numerous dopants, Ni, Ti, Mg, and Al are the most successful and well studied with practicability.[13]

4.1.1. Single-Element Doping

Ni is the first dopant and expands LCO lattice due its larger ionic radius. While inducing particle polycrystallization, Ni not only reduces charge-transfer resistance but also suppresses unfavorable H1-3 and O1 phase transitions.[80] This is ascribed to Li/Ni antisites, a prevalent occurrence in Ni-rich cathodes because of similar Li$^+$ (0.74 Å) and Ni^{2+} (0.69 Å) ionic radii, allowing interlayer Ni (i.e., Ni in AM layers; Ni$_{AM\ layer}$ for short) to function as pillars with Ni–O bonding to support layered structure and inhibit CoO$_2$ layer gliding. DFT calculations confirmed this, revealing that Ni$_{AM\ layer}$ is nearly immobile and that minor Li/Ni antisites do not obstruct Li$^+$ diffusion.[81] Meanwhile, attention should be paid to Ni amount since excessive doping (e.g., 8%) may in turn deteriorate structural stability and Li$^+$ diffusivity. Moreover, a strong superexchange interaction through O bridge is triggered between antiferromagnetic Ni$_{layer}^{2+\ AM}$ ($3d^8$: $t_{2g}^6 d_{z2}^1 d_{x2-y2}^1$) and open-shell ions in TM layers, i.e., Ni$_{layer}^{3+\ TM}$ ($3d^7$: $t_{2g}^6 d_{z2}^1 d_{x2-y2}^0$) and Co$_{layer}^{4+\ TM}$ ($3d^5$: $d_{xy}^2 d_{yz}^2 d_{xz}^1$) with unpaired electrons, which becomes stronger than that of Ni$_{layer}^{2+\ AM}$-O^{2-}-Ni$_{layer}^{4+\ TM}$/Co$_{layer}^{3+\ TM}$ during charge (**Figure 9**a).[82] Besides, such Ni$_{layer}^{2+\ AM}$-O^{2-}-Ni$_{layer}^{3+\ TM}$/Co$_{layer}^{4+\ TM}$ superexchange interaction reduces Co^{4+} to Co^{2+} through electron injection of Ni$_{layer}^{2+\ AM}$ while Ni$_{AM\ layer}$ increases formation

energy of oxygen vacancy,[83] hence stabilizing lattice oxygen by inhibiting valence oscillation and migration. Furthermore, Ni may induce a robust cationic mixed layer on LCO surface to stabilize surface structure.[84]
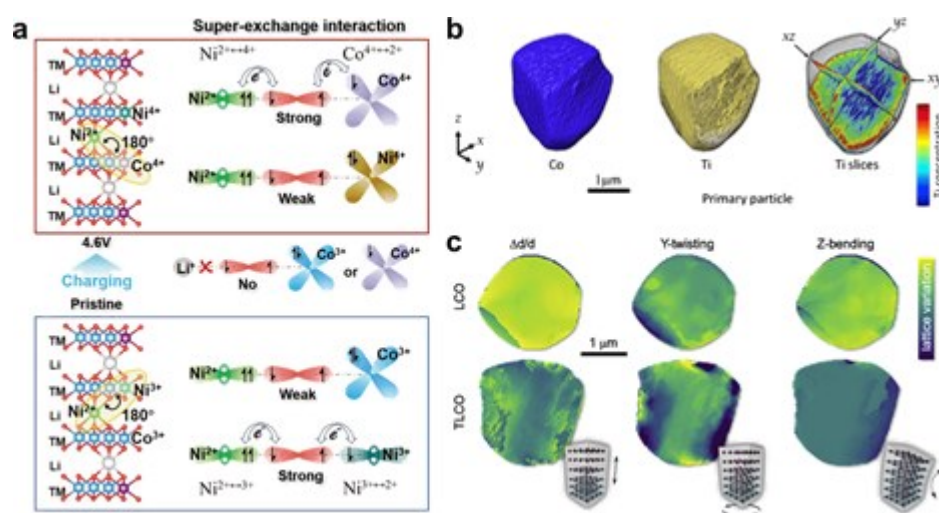

Figure 9. Ni and Ti doping in LCO. a) Superexchange interaction between $Ni^{2+}_{layer}{}^{AM}$ and $TM_{TM\ layer}$ at pristine and charged (4.6 V) states. Reproduced with permission.[82] Copyright 2022, John Wiley & Sons, Inc. b) Nanofluorescence mapping of TLCO. c) Lattice distortion maps of bare LCO and TLCO. Reproduced with permission.[48] Copyright 2020, Elsevier.

Ti with +4 valence is another important TM dopant. Due to its poor solubility in LCO lattice, theoretical maximum doping amount of Ti is 0.15%, and excess Ti tends to aggregate on LCO surface or even forms impurities.[85] Ti doping can enhance LCO structural and electrochemical stability with decreased particle dimension, while surface Ti restrains oxygen activity and stabilizes surface structure.[86] Recent DFT calculations manifested that Ti^{4+} doping at Co^{3+} site induces Co^{2+}, resulting in lattice distortion and defects with improved conductivity.[87] Yu et al. conducted a further study by nanoresolution X-ray microscopy to probe the composition, valence, and lattice defects of Ti-doped LCO (TLCO).[48] It is disclosed that Ti heterogeneously and spontaneously segregates to modify particle surface and buried grain boundaries (Figure 9b). Besides, while bare LCO exhibits higher uniformity, more inhomogeneity

in lattice deformation, including d-spacing heterogeneity ($\Delta d/d$), Y-twisting, and Z-bending, is found in TLCO (Figure 9c). These hierarchical defects mitigate intragranular stress, improve structural robustness, and hinder adverse H1-3 and O1 phase transitions.

Mg and Al are two representative non-TM dopants. Mg not only improves LCO structural stability but also facilitates Li$^+$ diffusivity and electronic conductivity from ~10^{-3} to ~0.5 S/cm, as attributed to Co^{4+} and holes induced by Mg^{2+} substituting Co^{3+} and shift of Fermi level to valence band with enhanced Co $3d$ and O $2p$ overlap.[88] Similar to Ni, Mg can also dope Li site owing to comparable ionic radius (~0.72 Å) to strengthen interlayer covalency with reduced Co $3d$ and O $2p$ hybridization. Huang et al. theoretically compared LCO with Mg doped at either Co (LCMO) or Li (LMCO) sites, showing that latter is more stable because of lower formation energy.[89] An LMCO, i.e., $Li_{0.9}Mg_{0.05}CoO_2$, was further synthesized, whose interlayer Mg is verified by STEM and synchrotron pair distribution function (PDF) measurements. Such a Mg-pillaring effect prevents CoO$_2$ layer gliding and reduces Li$^+$ migration barrier, thus helping suppress high-voltage phase transitions and interfacial side reactions. We recently advanced this study and discovered that Mg doping also induces bulk Li/Co antisites and a surface Mg−O layer, thereby preserving the stability of both the bulk and surface structure.[90] Furthermore, Liu et al. achieved LMCO and LCMO with same 4% doping amount and confirmed the superior structural stability of LMCO, which is due to above Mg-pillaring benefits plus alleviated CoO$_6$ distortion and structural stress.[91] Al as an LCO dopant also has various merits, such as 1) good lattice solubility due to similar inoic radius (0.535 Å) and same +3 valence as Co^{3+} and presence of isostructural λ-LiAlO$_2$, 2) excellent structural stabilization by stronger Al−O bonding (bond energy: 511$\pm$3 kJ·mol^{-1}) than Co−O (bond energy: 384.5$\pm$13.4 kJ·mol^{-1}) and invariable valence,[92] and 3) improved operating voltage and thermal stability. These confer LCO with not only increased OCV and Li$^+$ diffusivity but also hindered anisotropic lattice changes, two-phase behavior, M1 and H1-3 phase transitions, and cobalt dissolution. Besides, MAS NMR with others shows that Al may induce a surface lithiated spinel-like phase and a robust CEI layer to stabilize LCO surface structure.[93] However, Al may attract more lattice oxygen to involve in charge compensation and excessive Al doping like 25% causes larger local distortion to degrade structural stability.[94]

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Dopants can also act as growth mediators to alter the nucleation barrier and critical nuclei size by changing LCO surface energy.[95] Beside those, we recently revealed that contraction can stabilize LCO structure.[96] In addition to metals, non-metal elements have also been employed as dopants. F$^-$ doping O^{2-} site, for example, increases ionic/electronic conductivity with stabilized lattice oxygen.[97] Moreover, F can dope or coat LCO surface in fluoride form, serving as a conductive layer to lower interfacial impedance and prevent surface corrosion.

4.1.2. Multi-Element Co-Doping

Multi-element co-doping integrates benefits of individual dopants to synergetically stabilize LCO structure and has been commercially applied with important progress. A representative example is La-Al co-doping, where the large La^{3+} ion (r(La^{3+}) = 1.032 Å) partly resides in AM layers as pillars to facilitate Li$^+$ diffusivity by widening interlayer spacing while Al^{3+} functions at Co^{3+} site as positively charged center to disrupt M1 and H1-3 phase transitions, thereby alleviating lattice change and intragranular stress.[98] Li et al. advanced this stabilization with Ti-Mg-Al co-doping (TMA-LCO).[86] Through state-of-the-art synchrotron X-ray tomography with elemental analyses and STEM-EELS/energy-dispersive X-ray spectroscopy (EDS), dopant distribution is clearly demonstrated (**Figure 10**a). Mg and Al are bulk doping to enhance electronic conductivity and inhibit adverse phase transitions, whereas Ti is prone to segregate at grain boundaries to modify microstructure, which not only promotes uniform distribution of structural stress but also stabilizes surface structure by suppressing interfacial side reactons and oxygen activity (Figure 10b,c).
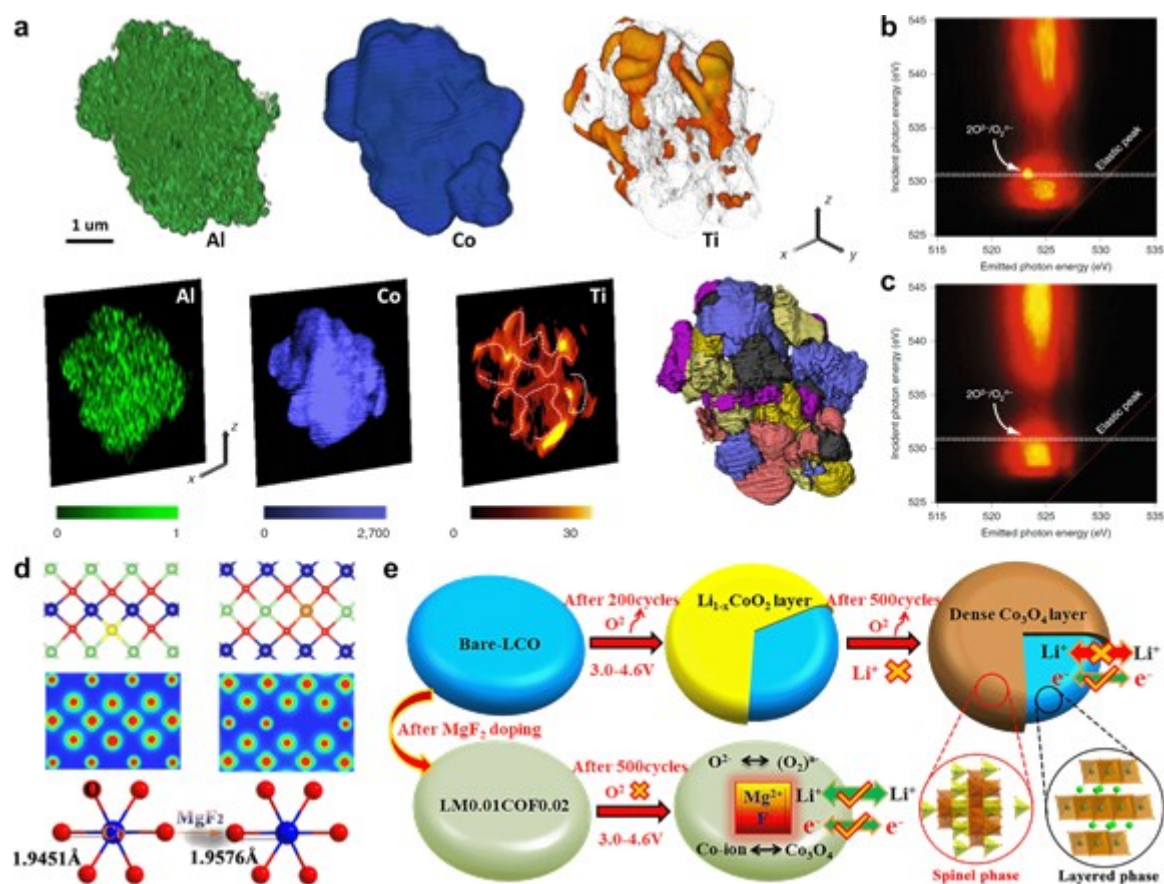
Figure 10. Multi-element co-doping for LCO. a) 3D elemental distribution (top level), typical 2D slice (down level), and identified and visualized subdomain formation (lower right corner) of TMA-LCO. b,c) O K-edge mRIXS of bare LCO (b) and TMA-LCO (c) after charge to 4.6 V. Reproduced with permission.[86] Copyright 2019, Springer Nature. d) Electron distribution of oxygen and Co–O bond length and e) degradation mechanism before and after Mg-F co-doping. Reproduced with permission.[70] Copyright 2021, John Wiley & Sons, Inc.

Distribution of co-doped elements can be altered by doping procedures. For instance, in TMA-LCO, Ti and Al can dope bulk phase to impede H1-3 transition while Mg can be surface gradient doping to protect surface structure.[99] This implies that dopant roles vary with distribution and that an interplay may exist amongst dopants. Our Ni-Ti-Mg co-doping work confirmed it, showing that Ni and Ti induce LCO polycrystallization and are enriched at grain boundaries while Mg causes inward migration of Ni and Ti as bulk dopants and itself is segregated at particle surface.[79] These enable solid links and

buffers between neighboring particles, suppression of cleavage and cracks at grain boundaries, and further long-term structural stabilization.

Co-doping by metal and non-metal elements offers another excellent strategy, represented by Mg and F. Theoretical studies uncovered that Mg-F co-doping tailors LCO electronic structure by enlarging the energy gap between Co 3d and O 2p band centers with ρ increased from 0.3911 to 0.539 eV.[70] This alternation enhances Co−O bond ionicity with increased bond length and reduces electron distribution around oxygen (Figure 10d), hence inhibiting oxygen redox activity and improving cationic migration reversibility. Thanks to this, surface oxygen release and spinel Co_3O_4 formation are hindered to boost LCO structural stability (Figure 10e). Although several other combinations like Ca-P and Na-Fe have been investigated,[100] multi-element co-doping remains understudied with promising prospects.

4.2. Surface Modification

Surface modification aims specifically to stabilize LCO surface to alleviate structural strain and issues induced by innate instability and external stimuli. Although dopants like Ni and Ti may be enriched on LCO surface, efficacy of element doping in such stabilization is limited. While varying with detailed strategies and mechanisms, surface modification for LCO can be broadly divided into two categories, i.e., surface coating and modification of other components like electrolytes, additives, binders, and separators, with respective direct and indirect contribution to LCO surface.

4.2.1. Surface Coating

Surface coating basically constructs heterogeneous or solid-solution layers as physical barriers to protect surface structure, which may also promote charge transfer and reaction kinetics, act as HF scavengers to reduce electrolyte acidity, relieve structural deformation, and inhibit cobalt dissolution and oxygen release.[101] The function of surface coating is predominantly determined by the properties and morphologies of the coated materials. Despite being extensively studied, surface coating still faces notable limitations regardless of effectiveness. Firstly, it may cause more capacity loss than elemental

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doping due to more non-active materials involved. Then, surface coating is commonly achieved through post-treatment rather than one-step synthesis, increasing complexity and cost. Additionally, the range of available coating materials is considerably broader compared to the limited types of doped elements. While this provides more possibilities, the trial-and-error workload and associated cost are significantly raised. For instance, an ideal coating should possess various qualities, like thinness and homogeneity, ionic and electronic conductivity, mechanical rigidity, ease of scalable processability, and cost-effectiveness,[102] and to develop coatings combining these properties is hence a formidable challenge. Moreover, for large-scale applications, other issues beyond cost also come into play, especially coating uniformity, stability and efficiency. Based on responsiveness to electrochemical stimuli, surface coating roughly consists of electrochemically inert coating (by stable materials like Li-free oxides, fluorides, and phosphates) and electrochemically active coating (by materials such as Li-containing cathodes, SSEs, and conductive polymers).

Oxides with high thermodynamic and structural stability are the first to coat LCO. Al_2O_3 among them is most successful, not only to inhibit surface structural changes like pitting corrosion, cobalt dissolution, and spinel transition, but also to attenuate irreversible electrolyte decomposition and CEI growth.[64] However, oxide coatings are vulnerable to HF and convert to fluorides and harmful H_2O. More inert coatings are hence developed, especially fluorides and phosphates with stronger bonding to suppress interfacial side reactions and structural collapse with LiF formation. Though effective for stabilizing surface, the high energy barrier and lack of suitable binding sites in these coating structures lead to poor Li^+ conductivity and high electronic impedance, raising concerns on rate capability and capacity.

Coating may induce doping to further stabilize LCO surface structure. This is particularly evident in Al_2O_3 coating, as Al^{3+} can induce a $LiCo_{1-x}Al_xO_2$ solid-solution coating at 400 °C or entirely enter LCO lattice as surface gradient doping at 800 °C.[103] Such is the presumed mechanism of Al-based coatings in preventing cobalt dissolution. Yang et al. employed such a coating strategy to achieve a $LiAlO_2/LiCo_{1-x}Al_xO_2$ dual coating by calcining Al_2O_3-coated LCO at specific 550 °C (**Figure 11**a).[104] These coatings

play respective roles: inert $LiAlO_2$ protects surface from electrolytes while subsurface $LiCo_{1-x}Al_xO_2$ acts as a structural transitional region and promots Li^+ diffusion. Similar coating-induced doping is also found in MgO and $AlPO_4$ coatings. For MgO, Mg^{2+} can migrate to surface AM vacancies serving as pillars with strong Mg–O bonding, which hinders Co^{3+}/Co^{2+} redox and Li^+/vacancy ordering while causes a space charge layer to stabilize LCO-electrolyte interface (Figure 11b).[105] While $AlPO_4$ can lead to outmost P-rich coating (i.e., Li_3PO_4) and subsurface Al-rich doping (i.e., $LiCo_{1-x}Al_xO_2$) after calcination; these reduce electrolyte decomposition and induce Co- and Al-containing fluorides and/or oxyfluorides to restrain oxygen release and impedance growth.[106]
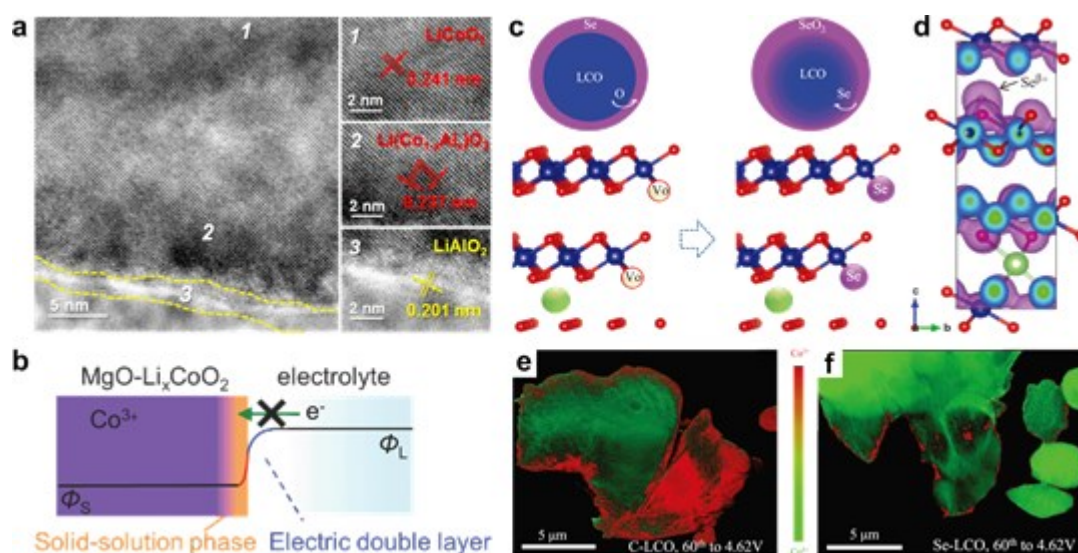

Figure 11. Electrochemically inert coating for LCO. a) HRTEM images of surface $LiAlO_2/LiCo_{1-x}Al_xO_2$ dual coating. Reproduced with permission.[104] Copyright 2019, Elsevier. b) Schematic electronic structure at MgO-coated LCO-electrolyte interface (ϕ_s and ϕ_L: electrochemical potentials of electrode and electrolyte). Reproduced with permission.[105] Copyright 2014, John Wiley & Sons, Inc. c) Schematic structure of Se substituting surface O during delithiation. d) Charge density distribution after Se doping. e,f) Co valence mapping of C-LCO (e) and Se-LCO (f) after 60th charge. Reproduced with permission.[71] Copyright 2020, John Wiley & Sons, Inc.

Coating-induced doping also occurs at anionic sites. A typical example is Se coating on commercial LCO (C-LCO), which substitues some high-valent $O^{\alpha-}$ ($\alpha<2$) in deep-charged surface, forming a gradient Se

doping with outermost SeO$_2$ coating (Figure 11c).[71] Theoretical studies indicated that Se$^{\beta+}$ at O sites is unusual since Se is located out of the anion plane (Figure 11d). A selenite (SeO$_3^{2-}$)-like resonant structure is suggested, whose Se valence varies with bonded O, e.g., taking formal charge of $\beta=4$ when O is 2- or lower valence (0<β<4) when O is oxidized. Such an "anti-aging" effect not only transplants pumped charges from O$^{\alpha-}$ to reduced it back to O^{2-} but also mitigates interfacial side reactions with stabilized redox of lattice oxygen and Co^{3+}/Co^{4+} (Figure 11e,f).

Electrochemically active coating has recently attracted attention owing to its additional benefits of promoted charge transfer and compensation. Li-containing phosphate ionic conductors are an effective active coating material. For example, even simplest Li$_3$PO$_4$ coating can tune LCO-electrolyte interfacial decompositon while Li$_{1.4}$Al$_{0.4}$Ti$_{1.6}$(PO$_4$)$_3$ coating partly and exclusively converts to structurally coherent spinel and Li$_3$PO$_4$ after calcination, thus improving surface structural stability.[107] Yang et al. epitaxially grew LiCoPO$_4$ to fully cover and strongly bind LCO surface (LCPO-LCO).[108] Such a stable coating is well retained after long-term 4.6 V cycles and inhibits adverse nanovoids and irreversible spinel transition (Figure 12a,b). Moreover, the sound structural stability and interfacial compatibility with SSEs make these coatings ideal for all-solid-state LIBs.
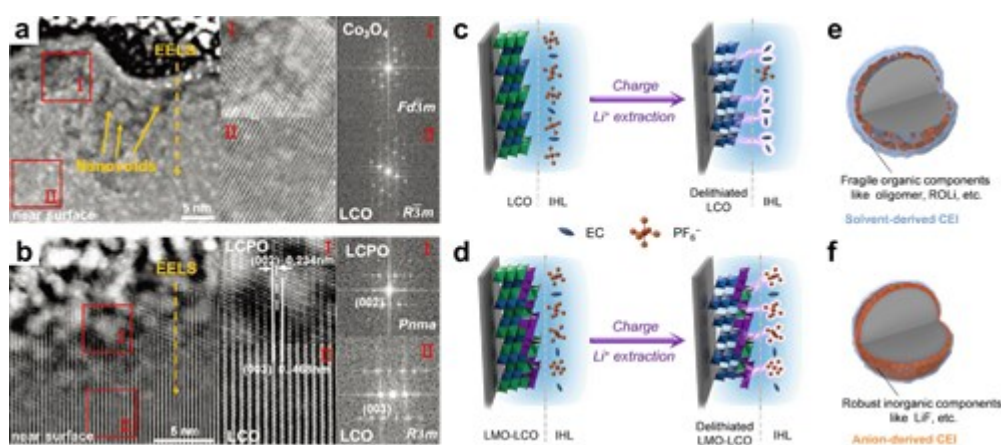

Figure 12. Electrochemically active coating for LCO. a,b) HAADF-STEM images with corresponding fast Fourier transform (FFT) patterns of bare LCO (a) and LCPO-LCO (b) after cycling. Reproduced with permission.[108]

Copyright 2022, John Wiley & Sons, Inc. c-f) Schematic abundant species in IHL (c,d) and CEI (e,f) of bare LCO (c,e) and LMO-LCO (d,f). Reproduced with permission.[109] Copyright 2022, John Wiley & Sons, Inc.

Spinel-like materials offer another choice for active coatings due to their wide electrochemical window and less oxidized oxygen. A typical one is $LiMn_{0.75}Ni_{0.25}O_2$, which is lattice coherent on LCO surface to suppress oxygen release by lowering O $2p$ band top and enhance cationic and anionic redox reversibility.[110] We also achieved a spinel-like coherent coating via Al^{3+} and F^- dual gradients or Al^{3+}, F^-, and PO_4^{3-} comodification to facilitate Li^+ diffusion kinetics and surface stabilization.[111] Further, Chen et al. showed that $Li_4Mn_5O_{12}$ spinel on LCO (LMO-LCO) enables an anion-rich inner Helmholtz layer (IHL) that preferrs PF_6^- over ethyl carbonate (EC) (Figure 12c,d).[109] This causes inorganics (e.g., LiF) enriched in CEI to feature high modulus and mechanical robustness (Figure 12e,f) and modulates CEI composition. Beside those, rock-salt phases that boost surface conductivity and suppress near-surface structural destruction and perovskites that passivate and stabilize surface oxygen by enlarging η_{OER} (overpotential for oxygen evolution reation),[68, 112] are also effective active coating materials for LCO.

Aside from stabilizing surface, coatings can improve LCO bulk stability, especially in suppressing phase transitions. Studies have shown that coatings may finitely impact low-voltage phase transitions like H2 and M1 but hamper high-voltage ones, i.e., H1-3 and O1.[113] Although mechanism for this is not clear, it may originate from two aspects: surface Li content alternation and coating-induced subsurface doping.[12] Also, we speculate that the bonding and wrapping effects of coating materials should obstruct CoO_2 layer gliding and resulting irreversible phase transitions, which occur at high voltages.

4.2.2. Modification of Other Components

Direct contact with other battery components allows LCO surface stabilization by modifications of these components, including electrolytes, additives, binders, and separators. This strategy is commonly achieved by constructing *in situ* an artificial protective layer on LCO. Though offering

alternative optimization with wide interest, such modifications confront concerns besides cost, such as toxicity, stability, and concentration of electrolytes and additives, contact and conductivity of binders, and safety, thickness, and influence on rate capability of separators.

Conventional carbonate electrolytes usually have poor oxidative stability because they are susceptible to Co^{4+} and decompose at voltages below theoretical oxidation potentials. This promotes interfacial side reactions and destabilizes LCO surface. Hence, modifications of electrolytes is necessary, which involve two topics. One is to explore innovative electrolytes with high-voltage stability, such as those based on sulfones and fluorinated carbonates. For instance, dimethly sulfone (DMSO) in a mixed solvent preferentially oxidizes to a stable protective layer on LCO to suppress cobalt dissolution and adverse side reactions.[114] The other is to modify conventional electrolytes by increasing Li salt concentration and adding additives. One representative is di(methylsulfonyl) ethane (DMSE), whose high HOMO energy level makes it decompose prior to other solvents and polymerize to an artificial layer on both LCO and anode, thus reducing electrolyte decay and interfacial impedance.[115] Nitrile like 5-acetylthiophene-2-carbonitrile (ATCN), suberonitrile (SUN), and 1,3,6-hexanetricarbonitrile (HTCN), represents another class of effective additive.[116] Specifically, thiophene-based ACTN with C≡N and C=O groups not only undergoes electrochemically oxidization on LCO to induce a protective CEI layer but also employs its decomposed intermediates to convert harmful species, HF, H_2O, Li_2O, Li_2CO_3, and others, into a distinctive film comprising underneath compact Li salts and outer thiophene polymers. While SUN and HTCN possessing N $2p$ lone-pair electrons induce strong $RCN^{\delta}–Co^{(4+\delta)+}$ bonding that lowers Co valence to prevent catalytic oxidation of electrolytes. Very recently, we reported that a lithium bisoxalatodifluorophosphate (LiDFBP) additive can create a bilayer composed of an outermost LiF-rich CEI layer and an inner spinel layer to efficiently protect LCO surface.[117] By strong chelation with Co, a phytate lithium additive is also revealed to stabilize both LCO bulk and surface structure.[118]

Binders also affect LCO surface structure. Although PVDF is most widely used, it is not conducive as its van der Waals interaction with LCO surface is weak, leading to issues such as inhomogeneous

distribution and agglomeration causing polarization, and acceleration of Co_3O_4 formation and cobalt dissolution at contacting points. This calls for binders with better performance, and examples like styrene-butadiene rubber-based latex binder and organosilicon-type binder have been developed, showing superior adhesion to LCO surface with higher flexibility and uniformity.[119] A dextran sulfate lithium (DSL) binder was lately found powerful via abundant hydrogen bonding.[120] It enhances surface Co−O bonding and structural stability by hindering electrolyte decomposition, cobalt dissolution, and H1-3 phase transition, while well retains after long-term cycles, in contrast to PVDF that barely impedes surface degradation (**Figure 13**).
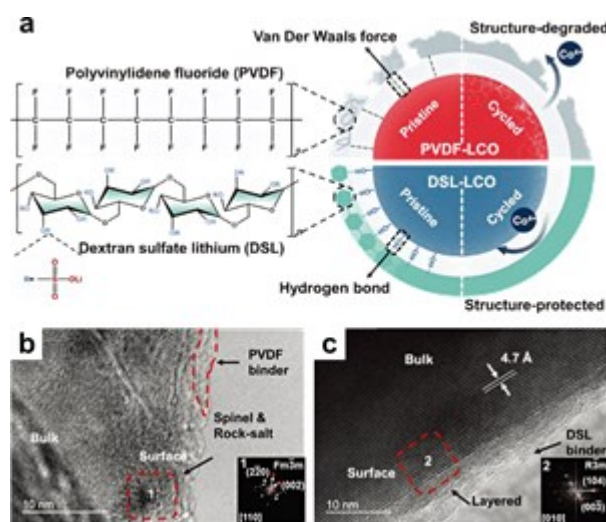

Figure 13. Modification of binder for LCO. a) Schematic interaction of PVDF and DSL binders with LCO surface. b,c) HRTEM and corresponding FFT images of LCO surface with PVDF (b) and DSL (c) binders after long-term 4.6 V cycles. Reproduced with permission.[120] Copyright 2021, John Wiley & Sons, Inc.

Regarding separators, common polyethylene and polypropylene contribute little to LCO surface stabilization, but their oxidative instability and thermal shrinkage instead affect LCO stability. Coating separators with gellable polymers and ceramics can improve ionic conductivity and adhesion between separators and LCO,[121] while composite separators benefit to reducing interfacial impedance. In addition, modifications of conductive agents can mitigate high-voltage side reations to indirectly

stabilize LCO surface structure.[122] Last but not least, blending with other cathodes like LFP may inhibit LCO particle breakage and surface Co_3O_4 formation, but its stabilization towards LCO surface structure is limited with uncertain mechanisms and at the expense of capacity.[123]

5. Conclusion and Outlook

Understanding LCO structure is the crucial and indispensable prerequisite for achieving H-LCO with higher capacity and energy density. This review exclusively highlights LCO structure. Not only fundamentals of crystal and electronic structures but also various multi-scale structural instabilites occuring in bulk phase and surface are elucidated, which deepens our knowledge of LCO structure-performance relationship. It is concluded that LCO high-voltage stability is mainly subject to two aspects. One is poorly reversible H1-3 and O1 phase transitions in bulk phase, causing significant anisotropic strain and stress to result in cracks and pulverization; the other is the dramatic changes in surface structure, inducing phase collapse with Co and O loss and crack propagation to LCO interior. To tackle these, targeted modifications with specific roles and mechanisms are elaborated from a structural perspective. It not only provides a comprehensive structural understanding but also helps future effective and rational development of H-LCO with superior stability.

Despite considerable progress in LCO structural study, some issues remain barely understood. For bulk structure, neither the formation mechanism of H2a intermediate and O2- and O1-type structures at low voltages, nor the selective segregation of Li^+ in every other AM layer in H1-3 and driving force of CoO_2 layer gliding, are explicit. While for surface structure, quantification of various instabilities and their unambiguous relationship have not yet been explored. Besides, stabilization mechanisms of modifications, like dopant impacts on local crystal and electronic structures and concrete composition and structure of coatings and their interface with LCO, deserve more in-depth investigations.

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Future structural understanding for high-voltage stabilization in H-LCO can be conducted in several ways: 1) combined use of state-of-the-art *in situ*/*operando* characterizations to probe bulk and surface structures for more precise and complete structural and structure-performance knowledge; 2) employment of theoretical calculations based on high-throughput machine/deep learning to predict and validate structure and performance, and further to guide modification strategies; 3) controllable synthesis of H-LCO with designed structural features, like specific defects, free of twin boundaries, dopants at particular positions, and specified surface/coating structures, morphologies, and orientations; 4) comprehensive structural stabilization by integrating elemental doping, surface coating, and modifications of other components. On all accounts, a solid and adequate structural understanding is the key foundation for LCO research and application, and there is still some way to go.

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Conflict of Interest

The authors declare no conflict of interest.

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Accepted Article

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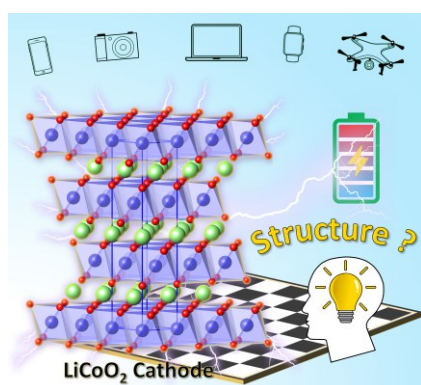
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Structural instability is the major factor limiting access to higher capacity and energy density of the monopoly LiCoO$_2$ cathode in consumer electronics. This neccessitates in-depth and thorough knowledge of the LiCoO$_2$ structure. Present review focuses on the fundamental structural understanding of LiCoO$_2$ and aims to establish a deeper structure-performance relationship to better guide future structural stabilization.

Structural Understanding for High-Voltage Stabilization of Lithium Cobalt Oxide

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